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# iDEA Documentation

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# CHAPTER 1

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## Using iDEA

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### 1.1 Getting iDEA

#### 1.1.1 Installation requirements

- Python 3.3 or later
- pip 10.0 or later
- (*optional*) pandoc for compiling the documentation

#### 1.1.2 Installing iDEA

The simplest way to install iDEA is to install the latest stable version directly from PYPI:

```
pip install --user idea-code
```

If you are planning to modify iDEA, get the latest version from the git repository:

```
# Clone from the central repository
git clone https://github.com/godby-group/idea-public.git idea-public

# Install & compile iDEA for your unix user
# (including packages for generating the documentation)
cd idea-public
pip install --user -e .[doc] --no-build-isolation

# Run example calculation
idea-run
```

### 1.1.3 Updating iDEA

```
# Pull all changes from central git repository
git pull origin master

# Remove the compiled cython modules
python setup.py clean --all

# Recompile the cython modules
python setup.py build_ext --inplace
```

### 1.1.4 Generating the documentation

A recent version of the documentation can be found on the iDEA web page. If you are making changes to the code and/or the documentation, you may need to generate the documentation by yourself:

```
cd doc
bash make_doc.sh
# find html documentation in _build/html/index.html
# find test coverage report in _build/coverage/index.html
```

Besides HTML, the iDEA documentation can also be compiled as a pdf. If you have a LaTeX distribution installed on your system, simply do:

```
cd doc
make latexpdf
```

## 1.2 Running iDEA

As it is a python package there are many different ways of running iDEA.

### 1.2.1 Using the iDEA code directly

Use *idea-run* to produce the default parameters file:

```
idea-run
```

In order not to overwrite results from different calculations, make sure to choose different run names for different inputs

```
### Library imports
from __future__ import division
from iDEA.input import InputSection, SystemSection
import numpy as np

### Run parameters
run = InputSection()
run.name = 'run_name'          #: Name to identify run. Note: Do not use spaces,
                                # or any special characters (.~[]{}<>?/\')
run.time_dependence = False    #: Run time-dependent calculation
```

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```

run.verbosity = 'default'          #: Output verbosity ('low', 'default', 'high')
run.save = True                  #: Save results to disk when they are generated
run.module = 'iDEA'                #: Specify alternative folder (in this
    ↪directory) containing modified iDEA module
run.NON = True                  #: Run Non-Interacting approximation
run.LDA = False                 #: Run LDA approximation
run.HF = False                  #: Run Hartree-Fock approximation
run.HYB = False                 #: Run Hybrid (HF-LDA) calculation
run.EXT = True                  #: Run Exact Many-Body calculation

```

## 1.2.2 Using the iDEA package in a python script

Since iDEA is designed as a python package, it can be run from everywhere, if you let your python installation know where the package is located. During the installation of iDEA the *idea-public* directory should have been added to PYTHONPATH. To test this has worked simply perform the following

```

cd $test_folder           # some folder you have created
cp $path_to_iDEA/iDEA/parameters.py . # where you have downloaded iDEA
idea-run

```

Here, we are running iDEA much in the same way as before but your `$test_folder` can be located anywhere on your computer.

The main advantage of having an iDEA python package is that you can access its functionality directly in a python script.

The example below uses a python loop to converge the grid spacing for an iDEA calculation of a test system of non-interacting electrons in a harmonic well.

```

from iDEA.input import Input

# read parameters file
inp = Input.from_python_file('parameters.py')
inp.run.verbosity = 'low'

# Converging xmax parameter
for xmax in [4,6,8,10]:
    # Note: the dependent sys.deltax is automatically updated
    inp.sys.xmax = xmax

    # perform checks on input parameters
    inp.check()
    inp.execute()
    E = inp.results.non.gs_non_E
    print(" xmax = {:.4f}, E = {:.4f} Ha".format(xmax,E))

```

In order to run this example, save it to a file `run.py` and do

```
python run.py
```

### 1.2.3 Using the iDEA package in an ipython shell

As iDEA is a python package it can also be run from the interactive python shell (ipython). This is particularly useful as ipython has a convenient auto-complete feature. The following example can be run in an ipython shell line-by-line.

```
from iDEA.input import Input

# import parameters file
pm = Input.from_python_file('parameters.py')

# change parameters
pm.run.EXT = True
pm.run.NON = True
print(pm.run)

# run jobs
results = pm.execute()

# plot the relevant results
x = pm.space.grid
plt.plot(x, results.ext.gs_ext_den, label='exact')
plt.plot(x, results.non.gs_non_den, label='non-interacting')
plt.legend()
plt.show()
```

## 1.3 Running ViDEO

ViDEO is a python script used to process the raw output files generated by iDEA into easy to use .dat data files, .pdf plots and .mp4 animations

### 1.3.1 Using ViDEO directly

Simply naviage to the relevent outputs folder

```
cd outputs/run_name/
```

and run the script

```
python ViDEO.py
```

You will be prompted for information to determine the result you want to process, and what files should be generated. ViDEO makes use of the file convention of outpus used in iDEA, as all pickle files are names according to the following convention

```
{gs/td}_{approximation}_{quantity}.db
```

for example, the ground-state non-interacting density

```
gs_non_den.db
```

### 1.3.2 Using ViDEO on results generated from a script

Simply naviage to the relevent outputs folder (assuming `run.save = True` in the relevent input file)

```
cd outputs/run_name/
```

and run the command

```
ViDEO.py
```



## CHAPTER 2

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### Examples

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For examples of how to use iDEA, please see the [iDEA demos](#), a collection of [jupyter notebooks](#) illustrating how to use the code.



# CHAPTER 3

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## Theory

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### 3.1 Many-electron Quantum Mechanics

#### 3.1.1 Motivation

The theory of interacting electrons is extremely rich and complex. This, along with recent advances in computing capabilities, means that more than 10,000 papers are published on electronic structure theory each year. This research is yielding novel understanding in a vast range of fields including physics, chemistry and materials science.

This is because, on the atomic level, electrons are the *glue* of matter and if we understand how electrons move in their environment *and* how they interact with each other, we can predict the electronic, optical and mechanical properties of materials.

#### 3.1.2 Notation

We are going to use Hartree atomic units where  $e = \hbar = m_e = 4\pi\varepsilon_0 = 1$  which saves a lot of clutter! This means the standard unit of length is the Bohr radius  $a_0 = 5.29 \times 10^{-11}\text{m}$ , and the unit of energy is the Hartree  $E_H = 2\text{Ry} = 27.2\text{eV}$ . Also be aware that capital  $\Psi$  refers to a many-body wave function whereas lower case  $\psi$  refers to single particle wave functions.

Below, we keep everything in 3 dimensions to keep things general, but be aware that iDEA works in 1D only.

#### 3.1.3 Schrödinger equation

The name of the game is to solve the Schrödinger equation for both the ground state system,  $\hat{H}\Psi = E\Psi$  and for the time dependent one,  $\hat{H}\Psi = i\frac{\partial\Psi}{\partial t}$  (we'll consider the time dependent case later).

In an ideal world, we would solve the many-body Schrödinger equation exactly and then armed with these wavefunctions, we'd have complete knowledge of the system and be able to make precise predictions. Unfortunately, however,

the problem is much too hard to solve in the way. Let's have a look at the Hamiltonian to see why.

$$\hat{H} = -\frac{1}{2} \sum_i \nabla_i^2 + V_{ext}(\mathbf{r}_i) + V_{ee}(|\mathbf{r}_i - \mathbf{r}_j|),$$

where we have used the Born-Oppenheimer approximation (treating the nuclei as so massive that they do not move on the timescale of electron motion), and the fact that the nuclei-nuclei interacting is constant and so we simply shift our zero of energy to absorb that term.  $V_{ext}$  is the external potential in which the electrons move, in the case of electrons moving in a potential set up by the nuclei,  $V_{ext} = -\sum_{i,I} \frac{Z_I}{|\mathbf{r}_i - \mathbf{R}_I|}$ .  $V_{ee}$  is the electron-electron interaction which in 3 dimensions takes the form  $V_{ee} = \frac{1}{2} \sum_{i \neq j} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}$ , but of course has a different 1D form which is implemented in the iDEA code.

The difficulty arises with the third term, the electron-electron interaction. This term means that the Schrödinger equation isn't separable so the wavefunction isn't simply a suitable anti-symmetric product of single particle wavefunctions. We now explore several approaches to solve this problem that are used by the iDEA code.

### 3.1.4 Exact solution

We are going to pretend from here that our electrons are spinless, so we can ignore any spin-orbit effects. This also has more profound impacts on the universe we're considering. In a spinful universe, only electrons of equal spins would feel the exchange interaction, but in our spinless universe all electrons feel it. This means we get to see the effects of  $V_{xc}$  for systems with a smaller number of electrons so we get to maximise the amount of physics we can highlight for a given effort (on both your part and mine!).

As we mentioned earlier, the exact problem is, normally, much too hard to solve; the difficulty growing exponentially with the number of electrons in the system. However, for systems with 2 or 3 electrons, we *are* able to solve the problem exactly. In fact, this is one of the key concepts in iDEA - solving simple systems exactly to allow us to compare, and possibly improve, the approximate solutions. Armed with these improvements, we are in a better position to tackle the larger systems with many electrons.

Given we can't solve the many-electron system exactly in general, we need to have a look at the various different approaches of tackling the problem in more complicated systems.

### 3.1.5 Complete neglect of interaction

This is by far the simplest approach - you just completely ignore the Coulomb interaction between the electrons. Clearly this massively simplifies the problem, giving a separable Hamiltonian for a start! This means that we are able to solve the Schrödinger equation by the method of separation of variables, solving it for each electron individually. Then the total wavefunction is just a product of these single particle wave functions, in a suitable anti symmetric arrangement. One often constructs this wavefunction with something known as a "Slater determinant":

$$\Psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N) = \frac{1}{\sqrt{N!}} \begin{vmatrix} \psi_1(\mathbf{r}_1) & \psi_1(\mathbf{r}_2) & \dots & \psi_1(\mathbf{r}_N) \\ \psi_2(\mathbf{r}_1) & \psi_2(\mathbf{r}_2) & \dots & \psi_2(\mathbf{r}_N) \\ \vdots & \vdots & \ddots & \vdots \\ \psi_N(\mathbf{r}_1) & \psi_N(\mathbf{r}_2) & \dots & \psi_N(\mathbf{r}_N) \end{vmatrix}.$$

Unfortunately, but unsurprisingly, making this approximation means that you lose a lot of the physics in the problem (look at the double antisymmetric well notebook for a prime example). Generally, in this system electrons spend more time closer to each other than they would if the repulsion between them had been taken into account. This is often a useful thing to calculate, however, since the code runs quickly and can then provide a reasonable first guess for the density for a self-consistent field approach - more on this later.

## 3.2 Density Functional Theory (DFT)

A functional is a function of a function. This may sound like a scary word, but you've definitely worked with them before! (e.g. an integral is a functional  $\int f(x)dx$ ). The notation we're going to use for functionals is square brackets, e.g.  $F[x]$  means  $F$  is a function of  $x$  which is in turn a function of another variable, so  $F$  is a functional.

DFT is used to calculate the ground state of a system, and so is independent of time. The most important function in DFT is the electron density,  $n(\mathbf{x})$ . Once you've got the density, you are able to calculate anything you want about the system (more on this later). This vastly simplifies the problem, since the density is only a function of 3 variables, opposed to the  $3N$  variables of the full many body wavefunction. The simplest formulations of DFT treat the electron-electron interaction through a mean-field only approach, that is reducing the many-body, interacting electron problem into many non-interacting electrons moving in an effective potential.

### 3.2.1 Aside - Mean Field Theories

If you are familiar with the concept of a mean field theories, you might like to think of DFT in this way but it isn't necessary to understand the following. We'll include a quick reminder here of mean field theory here in case you'd like to think of DFT in this way. One can think of this as replacing operators by their expectation values, (or more rigorously, writing an operator as its mean plus fluctuations about the mean and then taking the 0th order term,  $\hat{O} = \langle \hat{O} \rangle + \delta\hat{O} \approx \langle \hat{O} \rangle$ .) Clearly this means that the mean field has no fluctuations. This greatly simplifies the problem since you don't need to keep track of each of the individual particles and instead just one particle moving in the mean field. (Add things about SCF)

### 3.2.2 Hohenberg-Kohn Theorems

DFT is built on two main theorems - the Hohenberg-Kohn theorems [Hohenberg1964] - which we will state without proof here in the interests of brevity, but we very much encourage you to look into their proofs - they're really not so bad.

From this point on, we are going to specialise into 1 dimension to be faithful to the iDEA code, but these ideas are easily generalised to 3D.

#### Theorem 1

The external potential is a unique functional of the electron density only (up to an additive constant). So the Hamiltonian, and therefore all ground state properties, are determined solely by the electron density.

This is a very far reaching statement! Once we've got the electron density, we have got everything we could want to know about the system. It is important to recall how the density is related to the many-body wave function:

$$n(\mathbf{x}) = N \int dx_2 \int dx_3 \dots \int dx_N | \Psi(x, x_2, \dots, x_N) |^2$$

where the prefactor of  $N$  is included to account for the arbitrary assignment of which of the electrons hasn't had its coordinate integrated over.

#### Theorem 2

The ground state energy may be obtained variationally, and the density which minimises this energy is the exact ground state energy.

**NB** There is a nuance here, but a very important one! During the proofs of these theorems, one assumes that the electrons are in their ground states and so DFT is only valid for ground state systems.

Now, taking these two theorems together prove that a universal functional must exist, but sadly don't even give us a hint as to what it should look like, (or even how to calculate the ground state energy). Indeed, there are no known exact functionals for systems of more than one electron! Also, you shouldn't get too excited by the electron density being the central parameter, as ever things are more complicated than they seem. Although *in principle* it is possible to determine all properties of the system from  $n(\mathbf{x})$ , in practice it isn't that easy. The reason is we often don't know *how* to go from  $n(\mathbf{x})$  to the quantity we're interested in finding and so have to revert back to the set of  $N$  wavefunctions.

At this point we pick up the *Kohn-Sham* formulation of DFT [Kohn1965], which is what opened the door for so much progress in this field.

### 3.2.3 Kohn-Sham DFT

In the Kohn-Sham (KS) formulation of DFT, instead of considering the full system of  $N$  interacting electrons, we instead look at a fictitious system of  $N$  non-interacting electrons moving in an effective KS potential,  $V_{KS}$ . The single-particle KS orbitals are constrained to give the same electron density as that of the real system, so we can then, in theory at least, use theorem 1 to find out anything we want about the system. This (KS density yielding the exact density) is actually an assumption of the KS construction of the fictitious system as no rigorous proofs for realistic systems. other properties of the KS system are *not* the same as the real system (e.g. the kinetic energy of the auxiliary system won't, in general, be the same as that of the real system).

Recall that we are treating the electrons as spinless, so we constrain the system such that there is only one electron per KS orbital which gets around any possible problems arising from the Pauli exclusion principle.

We're going to brush over a few of the formalities here, since, for example, knowledge of how to use Lagrange multipliers to ensure particle conservation doesn't give greater insight into the physics.

The goal from here is to solve the Schrödinger-like equation

$$\left( -\frac{1}{2} \frac{d^2}{dx^2} + V_{KS}(x) \right) \psi_i(x) = \varepsilon_i \psi_i(x),$$

where  $V_{KS}$  is the Kohn-Sham potential that we'll discuss shortly, and  $\varepsilon_i$  are the single-particle eigenvalues. It is worth emphasising that this equation is, in principle, exact.

The KS potential is given by

$$V_{KS}(x) = V_{ext}(x) + V_H(x) + V_x(x) + V_c(x),$$

where  $V_{ext}$  is the external potential arising from the electron's interaction with the nuclei,  $V_H$  is the Hartree potential,  $V_x$  is the exchange potential and  $V_c$  the correlation potential. The last two terms are often lumped together into one exchange-correlation potential,  $V_{xc}$ , which we shall use from now on.

### 3.2.4 Hartree potential

The easiest way to understand the origin of the Hartree potential is to imagine freezing all the electrons in space, and then seeing what the electrostatic potential is due to these electrons.

$$V_H(x) = \int n(x') u(|x - x'|) dx',$$

where  $u$  is the softened coulomb interaction implemented in iDEA. If you examine definition of the Hartree potential you'll notice that it includes self-interaction, that is electron's interacting with other parts of their *own* charge densities - don't worry, this gets accounted for later. It's worth taking a moment here to reflect on where we are so far. On the face of things, it might seem like we have everything we need to solve this problem exactly. We've entirely accounted for the Coulomb interaction between the electrons and the nuclei and between the electrons themselves. So why do we need to bother including  $V_{xc}$ ? The reason is that in defining  $V_H$ , we froze the electrons in place and got an *electrostatic* potential, but of course the electrons in a real system will be moving, and it is this movement that gives rise to the exchange-correlation potential.

### 3.2.5 Exchange-Correlation potential

The origin of the exchange part of the potential is due to the exchange symmetry of the wavefunction of the system of identical particles (we'll restrict our treatment to fermions here). When fermions get close to each other they experience "Pauli repulsion", which causes the expectation values between them to be larger. So when the electrons are moving in the sample, they stay further away from each other than one would naively expect. The correlation of the system is a bit harder to put on explicit physical basis but it is a measure of how much the motion of one electron affects that of another.  $V_{xc}$  also corrects for the self interaction in the Hartree potential.

The problem is that we don't know what the exchange-correlation functional looks like for any system more complicated than the homogenous electron gas (HEG), which is where KS DFT goes from being an exact theory to an approximate one. We'll discuss one of these approximations later.

DFT's strength lies in the fact that  $V_{xc}$  is a relatively small contribution to  $V_{KS}$  so this term only being approximately correct doesn't change the form of the KS potential too drastically, which gives accurate KS orbitals and hence the electron density given by

$$n(x) = \sum_i |\psi_i(x)|^2.$$

The alert reader may notice a problem here. We need the KS orbitals to get the density by the above equation. To get the orbitals we need to solve the Schrödinger-like equation, however, that requires knowledge of the KS potential, which in turn depends on the electron density of the system. So to solve this we put in a guess of the electron density (often the density obtained from the non-interacting electron approximation), then plug this into the Schrödinger-like equation for the orbitals and then get the density from those. You then compare this new density with the old one. If there has been a change, we plug this new density in and try again. We keep this iteration going until we reach a *self consistent solution*, or in practice that the change from the old density to the new one is very small.

Of course this all assumes we know the form of the KS potential, but as we mentioned earlier, no one knows the form of the exchange-correlation functional which stops us doing this calculations exactly. One of the most common approximations is to use the *local density approximation* (LDA).

### 3.2.6 Local Density Approximation (LDA)

In the LDA, the functional only depends on the place where we are evaluating the density (hence the 'local' part of its name). The energy functional is given by

$$E_{xc}^{LDA}[n(x)] = \int \varepsilon_{xc}^{HEG}(n) n(x) dx,$$

where  $\varepsilon_{xc}^{HEG}(n)$  is the exchange-correlation energy per particle for the homogenous electron gas. Armed with this functional, we can get  $V_{xc}^{LDA}$  by using a functional derivative, which is written as

$$V_{xc}^{LDA} = \frac{\delta E_{xc}^{LDA}}{\delta n}.$$

Once, we have  $V_{xc}^{LDA}$ , we can get the KS potential and go through the process of finding a self consistent solution.

## References

## 3.3 Reverse engineering

One of the great abilities of iDEA is being able to obtain the *exact* KS potential. This is done by solving the Schrodinger equation exactly, obtaining the exact electron density. From here, the iDEA code then works backwards to see what KS potential would have given this electron density (more details are given [here](#)). The reason for doing this is that it

allows us to compare the exact reverse-engineered KS potential with that given by approximations, such as the LDA - using this comparison to improve the approximations we're using.

On these pages we are going to briefly introduce the theory behind the physics that the iDEA code is trying to unravel. We aim to cover all the essentials, but if you're looking for more complete treatments, we strongly recommend the following two books:

1. [Interacting Electrons: Theory and Computational Approaches](#) by Martin, Reining and Ceperley
2. [Electronic Structure: Basic Theory and Practical Methods](#) again by Martin

The second book goes into a little more detail on the basics than the first, so might be a better starting point for beginners.

# CHAPTER 4

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## Implementation

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Here we are going to cover the basics of how the different modules of iDEA are implemented.

### 4.1 What's the big iDEA?

The iDEA code has been developed to explore small model systems of interacting electrons, in order to gain insight into the crucial features present in time-dependent many-electron systems. This is allowing us to tackle important challenges in this area, e.g. developing an accurate description of electronic quantum transport - time-dependent electrical currents carried by electrons in response to an applied voltage - through nanostructures and nanodevices.

We use exact solutions of the many-electron time-dependent Schrödinger equation to study the performance of non-equilibrium Green's-function theory and time-dependent density-functional theory (TDDFT), along with a powerful reverse-engineering technique which allows us to calculate the exact exchange-correlation functionals in TDDFT.

### 4.2 EXT (Exact)

The EXT code solves the many-electron time-independent Schrödinger equation to calculate the fully correlated, ground-state wavefunction for a one-dimensional finite system of 2 or 3 spinless electrons interacting via the softened Coulomb repulsion  $(|x - x'| + 1)^{-1}$ . A perturbing potential is then applied to the ground-state system and its evolution is calculated exactly through solving the many-electron time-dependent Schrödinger equation.

#### 4.2.1 Calculating the ground-state

An arbitrary wavefunction  $\Psi$  is constructed (preferably close to the ground-state of the system) and then propagated through imaginary time using the Crank-Nicolson method. Using the eigenstates of the system  $\{\psi_m\}$  as a basis:

$$\Psi(x_1, x_2, \dots, x_N, \tau) = \sum_m c_m e^{-E_m \tau} \psi_m.$$

Providing the wavefunction remains normalised, the limiting value is the ground-state of the system:

$$E_{m+1} > E_m \quad \forall m \in \mathbb{N}^0 \implies \lim_{\tau \rightarrow \infty} \Psi(x_1, x_2, \dots, x_N, \tau) = \psi_0.$$

## 4.2.2 Time-dependence

A perturbing potential is applied to the Hamiltonian,  $\hat{H} = \hat{H}_0 + \delta\hat{V}_{\text{ext}}$ . The system is initially in its ground-state and its evolution is calculated by propagating the ground-state wavefunction through real time using the Crank-Nicolson method.

## 4.2.3 One-electron systems

EXT also works for systems of 1 electron. Unlike for 2 or 3 electron systems, the ground-state is calculated using an eigensolver. When a perturbation is applied to the system, its evolution is calculated by propagating the ground-state wavefunction through real time using the Crank-Nicolson method (like in the 2 or 3 electron systems).

## 4.3 RE (Reverse-Engineering)

The RE code calculates the exact Kohn-Sham (KS) potential [and hence exact exchange-correlation (xc) potential] for a given electron density  $n(x, t)$ .

### 4.3.1 Ground-state Kohn-Sham potential

The ground-state KS potential  $V_{\text{KS}}(x, 0)$  is calculated by starting from the unperturbed external potential and iteratively correcting using the algorithm:

$$V_{\text{KS}}(x, 0) \rightarrow V_{\text{KS}}(x, 0) + \mu[n_{\text{KS}}(x, 0)^p - n(x, 0)^p],$$

where  $n_{\text{KS}}(x, 0)$  is the ground-state KS electron density, and  $\mu$  and  $p$  are convergence parameters. The correct  $V_{\text{KS}}(x, 0)$  is found when  $n_{\text{KS}}(x, 0) = n(x, 0)$ .

### 4.3.2 Time-dependent Kohn-Sham potential

The time-dependent KS potential  $V_{\text{KS}}(x, t)$  is calculated by applying a temporary gauge transformation and iteratively correcting a time-dependent KS vector potential  $A_{\text{KS}}(x, t)$  using the algorithm:

$$A_{\text{KS}}(x, t) \rightarrow A_{\text{KS}}(x, t) + \nu \left[ \frac{j_{\text{KS}}(x, t) - j(x, t)}{n(x, t) + a} \right],$$

where  $j(x, t)$  is the current density of the interacting system and  $j_{\text{KS}}(x, t)$  is the current density of the KS system. Once the correct  $A_{\text{KS}}(x, t)$  is found, the gauge transformation is removed to calculate the full time-dependent KS potential as a scalar quantity.

## 4.4 LDA (Local Density Approximation)

The LDA is the most common approximation to the exchange-correlation (xc) functional in density functional theory (DFT). The LDA code implements 4 different functionals that we have developed [Entwistle2018]- 3 were constructed from slab-like systems of 1, 2 and 3 electrons, and the other was constructed from the homogeneous electron gas (HEG), with our softened Coulomb interaction. These approximate xc potentials allow us to approximate the electron density of systems, for comparison with exact solutions.

#### 4.4.1 Calculating the ground-state

As an initial guess, the Kohn-Sham (KS) potential  $V_{\text{KS}}$  is approximated as the external potential  $V_{\text{ext}}$ . From this a set of non-interacting orbitals are computed through solving the KS (single-particle Schrödinger) equations:

$$\{\phi_j, \varepsilon_j\},$$

and from these the density  $n(x)$  is calculated.

Using this density, an approximate xc potential  $V_{\text{xc}}$  is constructed using the chosen LDA functional. From this  $V_{\text{KS}}$  is refined, through a conjugate gradient method, Pulay mixing or linear mixing. For example, in linear mixing:

$$V_{\text{KS}}^{i+1}(x) = (1 - \alpha)V_{\text{KS}}^i(x) + \alpha V_{\text{KS}}^{\text{LDA}}(x),$$

where the Kohn-Sham potential at the current iteration  $V_{\text{KS}}^i$  and the Kohn-Sham potential constructed using the LDA  $V_{\text{KS}}^{\text{LDA}}$  are mixed to generate the Kohn-Sham potential at the next iteration  $V_{\text{KS}}^{i+1}$ .

These steps are repeated until we reach self-consistency, i.e.  $V_{\text{KS}}(x)$  and  $n(x)$  are unchanging.

#### 4.4.2 Time-dependence

After an approximate ground-state  $V_{\text{KS}}$  is found, the perturbing potential is applied to the ground-state Hamiltonian,  $\hat{H} = \hat{H}_0 + \delta\hat{V}_{\text{ext}}$ . The system's evolution is calculated by propagating the ground-state KS orbitals through real time using the Crank-Nicolson method, and applying the LDA adiabatically (ALDA).

#### 4.4.3 References

### 4.5 HF (Hartree–Fock)

The HF code solves the Hartree–Fock equation to approximately calculate the ground state density of a one-dimensional finite system of  $N$  like-spin electrons, with Hamiltonian  $\hat{T} + \hat{U} + \hat{V}_{\text{ext}}$ , where  $\hat{U}$  is the operator for the softened Coulomb interaction potential given by

$$u(x, y) = (1 + |x - y|)^{-1}.$$

A perturbing potential may be applied to the ground state system, and the time-dependent Hartree–Fock equation solved approximately to calculate the system's time evolution.

#### 4.5.1 Calculating the ground state

Orbitals and corresponding non-degenerate energy eigenvalues  $\{\varphi_j, \varepsilon_j\}_{j=1}^N$  for a non-interacting system of  $N$  electrons are first calculated from the single-particle Schrödinger equation with Hamiltonian  $\hat{T} + \hat{V}_{\text{ext}}$ .

We then proceed to calculate the ground state electron density of this system:

$$n(x) = \sum_{j=1}^N |\varphi_j(x)|^2.$$

From this we find the Hartree potential

$$v_{\text{H}}(x) = \int n(y) u(x, y) \, dy,$$

and the Fock matrix (the self-energy in the exchange-only approximation)

$$\Sigma_x(x, y) = - \sum_{j=1}^N \varphi_j^*(y) \varphi_j(x) u(x, y).$$

Defining

$$H(x, y) = \delta(x - y) \hat{T} + \delta(x - y) v_{\text{ext}}(y) + \delta(x - y) v_H(y) + \Sigma_x(x, y),$$

the Hartree–Fock Hamiltonian  $\hat{H}$  acts via the integral transform

$$\hat{H}\varphi(x) = \int H(x, y) \varphi(y) \, dy.$$

When our one-dimensional space is discretized to points on a grid,  $H(x, y)$  itself is a two-dimensional array, and acts as the Hamiltonian on the now one-dimensional arrays  $\{\varphi_j\}_{j=1}^N$ .

We solve the Hartree–Fock equation

$$\hat{H}\varphi_j = \varepsilon_j \varphi_j$$

to obtain a new set of orbitals and their corresponding eigenvalues.

Using these new orbitals the above process is iterated until a self-consistent solution is reached (i.e. until the change in the new electron density  $n$  is small enough compared to that of the previous iteration).

#### 4.5.2 Time-dependence

A perturbing potential  $v_{\text{ptrb}}$  is added to the ground state system so that it now has Hamiltonian  $\hat{T} + \hat{U} + \hat{V}_{\text{ext}} + \hat{V}_{\text{ptrb}}$ .

We construct the Time-Dependent Hartree–Fock Hamiltonian, which again acts via the same integral transform as explained previously, but now with a perturbation potential term added as

$$H^{\text{TD}}(x, y) = H(x, y) + \delta(x - y) v_{\text{ptrb}}(y).$$

Beginning with the ground state (initial time) orbitals and energies  $\{\varphi_j(t = 0), \varepsilon_j(t = 0)\}_{j=1}^N$  as already calculated, we apply the Crank–Nicolson method to evolve the system forward in time by a step of size  $\delta t$ :

$$\{\varphi_j(t)\}_{j=1}^N \rightarrow \{\varphi_j(t + \delta t)\}_{j=1}^N.$$

This process is repeated until the desired duration of the time-evolution has been simulated. The electron density of the system is calculated from the orbitals at each time-step.

## 4.6 HYB (Hybrid)

The HYB code solves the Hybrid DFT equation (containing a linear combination of the LDA exchange-correlation potential and Fock operators) to approximately calculate the ground state density of a one-dimensional finite system of  $N$  like-spin electrons, with Hamiltonian  $\hat{T} + \hat{U} + \hat{V}_{\text{ext}}$ , where  $\hat{U}$  is the operator for the softened Coulomb interaction potential given by

$$u(x, y) = (1 + |x - y|)^{-1}.$$

A perturbing potential may be applied to the ground state system, and the time-dependent Hybrid DFT equation solved approximately to calculate the system's time evolution.

### 4.6.1 Calculating the ground state

Orbitals and corresponding non-degenerate energy eigenvalues  $\{\varphi_j, \varepsilon_j\}_{j=1}^N$  for a non-interacting system of  $N$  electrons are first calculated from the single-particle Schrödinger equation with Hamiltonian  $\hat{T} + \hat{V}_{\text{ext}}$ .

We then proceed to calculate the ground state electron density of this system:

$$n(x) = \sum_{j=1}^N |\varphi_j(x)|^2.$$

From this we find the Hartree potential

$$v_{\text{H}}(x) = \int n(y) u(x, y) \, dy,$$

the Fock matrix (the self-energy in the exchange-only approximation)

$$\Sigma_{\text{x}}(x, y) = - \sum_{j=1}^N \varphi_j^*(y) \varphi_j(x) u(x, y),$$

and the LDA exchange-correlation potential  $v_{\text{xc}}^{\text{LDA}}$  (see iDEA LDA).

Let  $\alpha \in [0, 1]$  be a fixed parameter which determines the linear mixing of Hartree-Fock and LDA. Then defining

$$H_{\alpha}(x, y) = \delta(x - y) \hat{T} + \delta(x - y) v_{\text{ext}}(y) + \delta(x - y) v_{\text{H}}(y) + \alpha \Sigma_{\text{x}}(x, y) + (1 - \alpha) \delta(x - y) v_{\text{xc}}^{\text{LDA}}(y),$$

the Hybrid Hamiltonian  $\hat{H}_{\alpha}$  acts via the integral transform

$$\hat{H}_{\alpha} \varphi(x) = \int H_{\alpha}(x, y) \varphi(y) \, dy.$$

When our one-dimensional space is discretized to points on a grid,  $H_{\alpha}(x, y)$  itself is a two-dimensional array with a parameter  $\alpha$ , and acts as the Hamiltonian on the now one-dimensional arrays  $\{\varphi_j\}_{j=1}^N$ .

We solve the Hybrid equation

$$\hat{H}_{\alpha} \varphi_j = \varepsilon_j \varphi_j$$

to obtain a new set of orbitals and their corresponding eigenvalues.

Using these new orbitals the above process is iterated until a self-consistent solution is reached (i.e. until the change in the new electron density  $n$  is small enough compared to that of the previous iteration).

The parameter  $\alpha$  may be specified manually, however it is also possible to determine an “optimal” value for  $\alpha$  by considering the generalized Koopmans’ theorem, which gives conditions under which the model best describes a physical system.

### 4.6.2 Time-dependence

A perturbing potential  $v_{\text{pert}}$  is added to the ground state system so that it now has Hamiltonian  $\hat{T} + \hat{U} + \hat{V}_{\text{ext}} + \hat{V}_{\text{pert}}$ .

We construct the Time-Dependent Hybrid Hamiltonian, which again acts via the same integral transform as explained previously, but now with a perturbation potential term added as

$$H_{\alpha}^{\text{TD}}(x, y) = H_{\alpha}(x, y) + \delta(x - y) v_{\text{pert}}(y).$$

Beginning with the ground state (initial time) orbitals and energies  $\{\varphi_j(t = 0), \varepsilon_j(t = 0)\}_{j=1}^N$  as already calculated, we apply the Crank–Nicolson method to evolve the system forward in time by a step of size  $\delta t$ :

$$\{\varphi_j(t)\}_{j=1}^N \rightarrow \{\varphi_j(t + \delta t)\}_{j=1}^N.$$

This process is repeated until the desired duration of the time-evolution has been simulated. The electron density of the system is calculated from the orbitals at each time-step.

## 4.7 NON (non-interacting)

The NON code solves the single-particle time-independent Schrödinger equation (TISE) for one-dimensional finite systems of non-interacting electrons. A perturbing potential is then applied to the ground-state system and its evolution is calculated through solving the single-particle time-dependent Schrödinger equation (TDSE).

### 4.7.1 Calculating the ground-state

The Hamiltonian of a system of non-interacting electrons subject to a specified external potential is constructed, and from this a set of non-interacting orbitals are computed through solving the single-particle TISE:

$$\{\phi_j, \varepsilon_j\}.$$

From this, the ground-state density is calculated:

$$n(x) = \sum_{j \in \text{occ}} |\phi_j|^2.$$

### 4.7.2 Time-dependence

After the ground-state is found, the perturbing potential is applied to the ground-state Hamiltonian,  $\hat{H} = \hat{H}_0 + \delta\hat{V}_{\text{ext}}$ . The system's evolution is calculated by propagating the ground-state orbitals through real time using the Crank-Nicolson method.

# CHAPTER 5

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Developers

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## 5.1 Coding practises

If you'd like to contribute your changes back to iDEA, please follow good coding practises.

### 5.1.1 Write unit tests

For any new feature you add, make sure to add a **unit test** that checks your feature is working as intended.

- Naming convention: `test_<your_module>.py`
- start by copying a simple example, e.g. `test_NON.py`
- make sure your test is quick, it should run *in the blink of an eye*

### 5.1.2 Checklist

Before making a pull request, go through the following checklist:

1. Check that you didn't break the existing unit tests:

```
# run this in the base directory
python -m unittest discover
```

2. Check that the documentation builds fine:

```
cd doc/
bash make_doc.sh
```

3. Check the test coverage for your module (should be close to 100%):

```
firefox doc/_build/coverage/index.html
```

## 5.2 Adding to iDEA

The iDEA source code is managed using the `git` version control system.

### 5.2.1 Committing changes locally

Before you start committing, make sure that your environment is properly configured:

```
git config --global user.name "John Smith"  
git config --global user.email "john.smith@york.ac.uk"
```

Once you have made a set of changes you are happy with, you can commit the change set to your local repository. This will ensure that as you pull changes from the central repository they will be automatically integrated into your work. To see the list of files you have changed run

```
git status
```

Then to add a file you want to commit run

```
git add file_name
```

Once you have finished adding files you can commit your changes locally using

```
git commit
```

You will be prompted to enter a commit message to describe your changes and save the file. Your changes are now committed!

### 5.2.2 Contributing your changes to iDEA

Before contributing your changes back to iDEA, make sure to comply with our *best practises*.

1. Fork the iDEA repository on github
2. Assuming you've already committed your changes to a local repo, add a remote to your fork:

```
git remote add fork https://github.com/[GitHub Username]/idea-public.git
```

3. Pull from your fork (to synchronize), and then push local changes back to github

```
git pull fork master # may be asked to merge  
git push fork master
```

4. Create a pull request from your fork to the iDEA repo on github (you'll need to click on *compare across forks*)

Once a core developer maintainer has reviewed your pull request, your changes will be incorporated into iDEA.

### 5.2.3 Pulling the latest changes from iDEA

As the development of iDEA is progressing, you'll need to update your fork and your local repository from time to time.

Updating your local repository:

```
git remote add upstream git@github.com:godby-group/idea-public.git
git pull upstream master
```

After this, also update your fork on github:

```
git push fork master
```

**Note:** You will not be able to perform this pull if you have untracked changes, you should first commit your changes as described above. If you do not wish to commit the untracked changes and simply want to remove them run

```
git stash
```

## 5.3 Adding documentation

The documentation of iDEA consists of two main parts:

- the `doc/` folder, which contains documentation written in the intuitive reStructuredText (reST) format
- `python docstrings` in the iDEA source code that document the functionality of its modules, classes, functions, etc. (specifically, we follow the `numpy` convention)

Both are important and you are most welcome to contribute to either of them.

In order to generate a browseable HTML version of the documentation (which is displayed on the iDEA home page), we use a tool called `Sphinx`, which also takes care of producing a documentation of the source code (the API documentation).

Once you are done editing the documentation, produce an updated HTML version

```
cd doc
bash make_doc.sh
```

See *Generating the documentation* for details.

### 5.3.1 A short reST demo

- Write your mathematical formulae using LaTeX, in line  $\exp(-i2\pi)$  or displayed

$$f(x) = \int_0^\infty \exp\left(\frac{x^2}{2}\right) dx$$

- You want to refer to a particular function or class? You can!

iDEA.RE.`calculate_ground_state`(pm, approx, density\_approx, v\_ext, K)

Calculates the exact ground-state Kohn-Sham potential by solving the ground-state Kohn-Sham equations and iteratively correcting `v_ks`. The exact ground-state Kohn-Sham eigenfunctions, eigenenergies and electron density are then calculated.

$$V_{KS}(x) \rightarrow V_{KS}(x) + \mu[n_{KS}^p(x) - n_{approx}^p(x)]$$

#### Parameters

`pm` [object] Parameters object

`approx` [string] The approximation used to calculate the electron density

**density\_approx** [array\_like] 1D array of the ground-state electron density from the approximation, indexed as density\_approx[space\_index]

**v\_ext** [array\_like] 1D array of the unperturbed external potential, indexed as v\_ext[space\_index]

**K** [array\_like] 2D array of the kinetic energy matrix, index as K[band,space\_index]

**returns array\_like and array\_like and array\_like and array\_like and Boolean** 1D array of the ground-state Kohn-Sham potential, indexed as v\_ks[space\_index]. 1D array of the ground-state Kohn-Sham electron density, indexed as density\_ks[space\_index]. 2D array of the ground-state Kohn-Sham eigenfunctions, indexed as wavefunctions\_ks[space\_index,eigenfunction]. 1D array containing the ground-state Kohn-Sham eigenenergies, indexed as energies\_ks[eigenenergies]. Boolean - True if file containing exact Kohn-Sham potential is found, False if file is not found.

- Add images/plots to iDEA/doc/\_static and then include them



- Check out the source of any page via the link in the bottom right corner.

reST source of this page:

```
*****
Adding documentation
*****
```

The documentation of iDEA consists of two main parts:

```
* the :code:`doc/` folder, which contains documentation written in the intuitive
`reStructuredText (reST) <http://sphinx-doc.org/rest.html#rst-primer>`_
* `python docstrings <https://www.python.org/dev/peps/pep-0257/>`_ in the iDEA
source code that document the functionality of its modules, classes, functions,
etc. (specifically, we follow the
`numpy convention <https://github.com/numpy/numpy/blob/master/doc/HOWTO\_DOCUMENT.rst.txt>`_)
```

Both are important and you are most welcome to contribute to either of them.

In order to generate a browseable HTML version of the documentation (which is displayed on the iDEA home page), we use a tool called `Sphinx <<http://sphinx-doc.org>>`, which also takes care of producing a documentation of the source code (the API documentation).

Once you are done editing the documentation, produce an updated HTML version

```
.. code-block:: bash

    cd doc
```

(continues on next page)

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```

bash make_doc.sh

See :ref:`generate-documentation` for details.

A short reST demo
-----

* Write your mathematical formulae using LaTeX,
  in line :math:`\exp(-i2\pi)` or displayed
  .. math:: f(x) = \int_0^\infty \exp\left(\frac{x^2}{2}\right), dx

* You want to refer to a particular function or class? You can!
  .. autofunction:: iDEA.RE.calculate_ground_state
    :noindex:
* Add images/plots to ``iDEA/doc/_static`` and then include them
  .. image:: ../_static/logo.png

* Check out the source of any page via the link
  in the bottom right corner.

|
rest source of this page:
.. literalinclude:: documentation.rst

```

## 5.4 Changelog

- **v0.1.0** (2018-08-15)
  - Initial release of public version, relevant codes to be released were taken from private version 2.4.0.

## 5.5 Related Codes

Codes related to iDEA can be found in iDEA/old.

- PERiDEA
 

An old version of iDEA (just EXT and RE) with periodic boundary conditions



# CHAPTER 6

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iDEA

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## 6.1 iDEA package

### 6.1.1 Submodules

### 6.1.2 iDEA.EXT1 module

Calculates the exact ground-state electron density and energy for a system of one electron through solving the Schroedinger equation. If the system is perturbed, the time-dependent electron density and current density are calculated. Excited states of the unperturbed system can also be calculated.

`iDEA.EXT1.calculate_current_density(pm, density)`

Calculates the current density from the time-dependent electron density by solving the continuity equation.

$$\frac{\partial n}{\partial t} + \frac{\partial j}{\partial x} = 0$$

#### Parameters

**pm** [object] Parameters object

**density** [array\_like] 2D array of the time-dependent density, indexed as `density[time_index,space_index]`

**returns array\_like** 2D array of the current density, indexed as `current_density[time_index,space_index]`

`iDEA.EXT1.construct_A(pm, H)`

Constructs the sparse matrix A, used when solving Ax=b in the Crank-Nicholson propagation.

$$A = I + i\frac{\delta t}{2}H$$

#### Parameters

**pm** [object] Parameters object

**H** [array\_like] 2D array containing the band elements of the Hamiltonian matrix, indexed as H[band,space\_index]

**returns sparse\_matrix** The sparse matrix A

iDEA.EXT1.**construct\_K**(pm)

Stores the band elements of the kinetic energy matrix in lower form. The kinetic energy matrix is constructed using a three-point, five-point or seven-point stencil. This yields an NxN band matrix (where N is the number of grid points). For example with N=6 and a three-point stencil:

$$K = -\frac{1}{2} \frac{d^2}{dx^2} = -\frac{1}{2} \begin{pmatrix} -2 & 1 & 0 & 0 & 0 & 0 \\ 1 & -2 & 1 & 0 & 0 & 0 \\ 0 & 1 & -2 & 1 & 0 & 0 \\ 0 & 0 & 1 & -2 & 1 & 0 \\ 0 & 0 & 0 & 1 & -2 & 1 \\ 0 & 0 & 0 & 0 & 1 & -2 \end{pmatrix} \frac{1}{\delta x^2} = \left[ \frac{1}{\delta x^2}, -\frac{1}{2\delta x^2} \right]$$

#### Parameters

**pm** [object] Parameters object

**returns array\_like** 2D array containing the band elements of the kinetic energy matrix, indexed as K[band,space\_index]

iDEA.EXT1.**main**(parameters)

Calculates the ground-state of the system. If the system is perturbed, the time evolution of the perturbed system is then calculated.

#### Parameters

**parameters** [object] Parameters object

**returns object** Results object

### 6.1.3 iDEA.EXT2 module

Calculates the exact ground-state electron density and energy for a system of two interacting electrons through solving the many-electron Schrödinger equation. If the system is perturbed, the time-dependent electron density and current density are calculated.

iDEA.EXT2.**calculate\_current\_density**(pm, density)

Calculates the current density from the time-dependent electron density by solving the continuity equation.

$$\frac{\partial n}{\partial t} + \frac{\partial j}{\partial x} = 0$$

#### Parameters

**pm** [object] Parameters object

**density** [array\_like] 2D array of the time-dependent density, indexed as density[time\_index,space\_index]

**returns array\_like** 2D array of the current density, indexed as current\_density[time\_index,space\_index]

iDEA.EXT2.**calculate\_density**(pm, wavefunction\_2D)

Calculates the electron density from the two-electron wavefunction.

$$n(x) = 2 \int_{-x_{\max}}^{x_{\max}} |\Psi(x, x_2)|^2 dx_2$$

**Parameters**

**pm** [object] Parameters object

**wavefunction** [array\_like] 2D array of the wavefunction, indexed as wavefunction\_2D[space\_index\_1,space\_index\_2]

**returns array\_like** 1D array of the density, indexed as density[space\_index]

iDEA.EXT2.calculate\_energy (pm, wavefunction\_reduced, wavefunction\_reduced\_old)

Calculates the energy of the system.

$$E = -\ln \left( \frac{|\Psi(x_1, x_2, \tau)|}{|\Psi(x_1, x_2, \tau - \delta\tau)|} \right) \frac{1}{\delta\tau}$$

**Parameters**

**pm** [object] Parameters object

**wavefunction\_reduced** [array\_like] 1D array of the reduced wavefunction at t, indexed as wavefunction\_reduced[space\_index\_1\_2]

**wavefunction\_reduced\_old** [array\_like] 1D array of the reduced wavefunction at t-dt, indexed as wavefunction\_reduced\_old[space\_index\_1\_2]

**returns float** Energy of the system

iDEA.EXT2.construct\_A\_reduced (pm, reduction\_matrix, expansion\_matrix, td)

Constructs the reduced form of the sparse matrix A.

$$\begin{aligned} \text{Imaginary time : } A &= I + \frac{\delta\tau}{2} H \\ \text{Real time : } A &= I + i \frac{\delta t}{2} H \end{aligned}$$

$$A_{\text{red}} = RAE$$

where  $R$  = reduction matrix and  $E$  = expansion matrix

**Parameters**

**pm** [object] Parameters object

**reduction\_matrix** [sparse\_matrix] Sparse matrix used to reduce the wavefunction (remove indistinct elements) by exploiting the exchange antisymmetry

**expansion\_matrix** [sparse\_matrix] Sparse matrix used to expand the reduced wavefunction (insert indistinct elements) to get back the full wavefunction

**td** [integer] 0 for imaginary time, 1 for real time

**returns sparse\_matrix** Reduced form of the sparse matrix A, used when solving the equation Ax=b

iDEA.EXT2.construct\_antisymmetry\_matrices (pm)

Constructs the reduction and expansion matrices that are used to exploit the exchange antisymmetry of the wavefunction.

$$\Psi(x_1, x_2) = -\Psi(x_2, x_1)$$

**Parameters**

**pm** [object] Parameters object

**returns sparse\_matrix and sparse\_matrix** Reduction matrix used to reduce the wavefunction (remove indistinct elements). Expansion matrix used to expand the reduced wavefunction (insert indistinct elements) to get back the full wavefunction.

iDEA.EXT2.**initial\_wavefunction** (*pm*)

Generates the initial condition for the Crank-Nicholson imaginary time propagation.

$$\Psi(x_1, x_2) = \frac{1}{\sqrt{2}} (\phi_1(x_1)\phi_2(x_2) - \phi_2(x_1)\phi_1(x_2))$$

#### Parameters

**pm** [object] Parameters object

**returns array\_like** 1D array of the reduced wavefunction, indexed as `wavefunction_reduced[space_index_1_2]`

iDEA.EXT2.**main** (*parameters*)

Calculates the ground-state of the system. If the system is perturbed, the time evolution of the perturbed system is then calculated.

#### Parameters

**parameters** [object] Parameters object

**returns object** Results object

iDEA.EXT2.**non\_approx** (*pm*)

Calculates the two lowest non-interacting eigenstates of the system. These can then be expressed in Slater determinant form as an approximation to the exact many-electron wavefunction.

$$\left( -\frac{1}{2} \frac{d^2}{dx^2} + V_{\text{ext}}(x) \right) \phi_j(x) = \varepsilon_j \phi_j(x)$$

#### Parameters

**pm** [object] Parameters object

**returns array\_like and array\_like** 1D array of the 1st non-interacting eigenstate, indexed as `eigenstate_1[space_index]`. 1D array of the 2nd non-interacting eigenstate, indexed as `eigenstate_2[space_index]`.

iDEA.EXT2.**qho\_approx** (*pm, n*)

Calculates the *n*th eigenstate of the quantum harmonic oscillator, and shifts to ensure it is neither an odd nor an even function (necessary for the Gram-Schmidt algorithm).

$$\begin{aligned} \left( -\frac{1}{2} \frac{d^2}{dx^2} + \frac{1}{2} \omega^2 x^2 \right) \phi_n(x) &= \varepsilon_n \phi_n(x) \\ \phi_n(x) &= \frac{1}{\sqrt{2^n n!}} \left( \frac{\omega}{\pi} \right)^{1/4} e^{-\frac{\omega x^2}{2}} H_n \left( \sqrt{\omega} x \right) \end{aligned}$$

#### Parameters

**pm** [object] Parameters object

**n** [integer] Principle quantum number

**returns array\_like** 1D array of the *n*th eigenstate, indexed as `eigenstate[space_index]`

iDEA.EXT2.**solve\_imaginary\_time** (*pm, A\_reduced, C\_reduced, wavefunction\_reduced, expansion\_matrix*)

Propagates the initial wavefunction through imaginary time using the Crank-Nicholson method to find the

ground-state of the system.

$$\left( I + \frac{\delta\tau}{2} H \right) \Psi(x_1, x_2, \tau + \delta\tau) = \left( I - \frac{\delta\tau}{2} H \right) \Psi(x_1, x_2, \tau)$$

$$\Psi(x_1, x_2, \tau) = \sum_m c_m e^{-\varepsilon_m \tau} \phi_m \implies \lim_{\tau \rightarrow \infty} \Psi(x_1, x_2, \tau) = \phi_0$$

#### Parameters

**pm** [object] Parameters object

**A\_reduced** [sparse\_matrix] Reduced form of the sparse matrix A, used when solving the equation Ax=b

**C\_reduced** [sparse\_matrix] Reduced form of the sparse matrix C, defined as C=-A+2I

**wavefunction\_reduced** [array\_like] 1D array of the reduced wavefunction, indexed as wavefunction\_reduced[space\_index\_1\_2]

**expansion\_matrix** [sparse\_matrix] Sparse matrix used to expand the reduced wavefunction (insert indistinct elements) to get back the full wavefunction

**returns float and array\_like** Energy of the ground-state system. 1D array of the ground-state wavefunction, indexed as wavefunction[space\_index\_1\_2].

iDEA.EXT2.**solve\_real\_time** (pm, A\_reduced, C\_reduced, wavefunction, reduction\_matrix, expansion\_matrix)

Propagates the ground-state wavefunction through real time using the Crank-Nicholson method to find the time-evolution of the perturbed system.

$$\left( I + i \frac{\delta t}{2} H \right) \Psi(x_1, x_2, t + \delta t) = \left( I - i \frac{\delta t}{2} H \right) \Psi(x_1, x_2, t)$$

#### Parameters

**pm** [object] Parameters object

**A\_reduced** [sparse\_matrix] Reduced form of the sparse matrix A, used when solving the equation Ax=b

**C\_reduced** [sparse\_matrix] Reduced form of the sparse matrix C, defined as C=-A+2I

**wavefunction** [array\_like] 1D array of the ground-state wavefunction, indexed as wavefunction[space\_index\_1\_2]

**reduction\_matrix** [sparse\_matrix] Sparse matrix used to reduce the wavefunction (remove indistinct elements) by exploiting the exchange antisymmetry

**expansion\_matrix** [sparse\_matrix] Sparse matrix used to expand the reduced wavefunction (insert indistinct elements) to get back the full wavefunction

**returns array\_like and array\_like** 2D array of the time-dependent density, indexed as density[time\_index,space\_index]. 2D array of the current density, indexed as current\_density[time\_index,space\_index].

### 6.1.4 iDEA.EXT3 module

Calculates the exact ground-state electron density and energy for a system of three interacting electrons through solving the many-electron Schrödinger equation. If the system is perturbed, the time-dependent electron density and current density are calculated.

iDEA.EXT3.calculate\_current\_density(*pm, density*)

Calculates the current density from the time-dependent electron density by solving the continuity equation.

$$\frac{\partial n}{\partial t} + \frac{\partial j}{\partial x} = 0$$

#### Parameters

**pm** [object] Parameters object

**density** [array\_like] 2D array of the time-dependent density, indexed as density[time\_index,space\_index]

**returns array\_like** 2D array of the current density, indexed as current\_density[time\_index,space\_index]

iDEA.EXT3.calculate\_density(*pm, wavefunction\_3D*)

Calculates the electron density from the three-electron wavefunction.

$$n(x) = 3 \int_{-x_{\max}}^{x_{\max}} |\Psi(x, x_2, x_3)|^2 dx_2 dx_3$$

#### Parameters

**pm** [object] Parameters object

**wavefunction** [array\_like] 3D array of the wavefunction, indexed as wavefunction\_3D[space\_index\_1,space\_index\_2,space\_index\_3]

**returns array\_like** 1D array of the density, indexed as density[space\_index]

iDEA.EXT3.calculate\_energy(*pm, wavefunction\_reduced, wavefunction\_reduced\_old*)

Calculates the energy of the system.

$$E = -\ln \left( \frac{|\Psi(x_1, x_2, x_3, \tau)|}{|\Psi(x_1, x_2, x_3, \tau - \delta\tau)|} \right) \frac{1}{\delta\tau}$$

#### Parameters

**pm** [object] Parameters object

**wavefunction\_reduced** [array\_like] 1D array of the reduced wavefunction at t, indexed as wavefunction\_reduced[space\_index\_1\_2\_3]

**wavefunction\_reduced\_old** [array\_like] 1D array of the reduced wavefunction at t-dt, indexed as wavefunction\_reduced\_old[space\_index\_1\_2\_3]

**returns float** Energy of the system

iDEA.EXT3.construct\_A\_reduced(*pm, reduction\_matrix, expansion\_matrix, td*)

Constructs the reduced form of the sparse matrix A.

$$\text{Imaginary time : } A = I + \frac{\delta\tau}{2} H$$

$$\text{Real time : } A = I + i \frac{\delta t}{2} H$$

$$A_{\text{red}} = RAE$$

where  $R$  = reduction matrix and  $E$  = expansion matrix

#### Parameters

**pm** [object] Parameters object

**reduction\_matrix** [sparse\_matrix] Sparse matrix used to reduce the wavefunction (remove indistinct elements) by exploiting the exchange antisymmetry

**expansion\_matrix** [sparse\_matrix] Sparse matrix used to expand the reduced wavefunction (insert indistinct elements) to get back the full wavefunction

**td** [integer] 0 for imaginary time, 1 for real time

**returns sparse\_matrix** Reduced form of the sparse matrix A, used when solving the equation Ax=b

#### iDEA.EXT3.construct\_antisymmetry\_matrices (pm)

Constructs the reduction and expansion matrices that are used to exploit the exchange antisymmetry of the wavefunction.

$$\Psi(x_1, x_2, x_3) = -\Psi(x_2, x_1, x_3) = \Psi(x_2, x_3, x_1)$$

#### Parameters

**pm** [object] Parameters object

**returns sparse\_matrix and sparse\_matrix** Reduction matrix used to reduce the wavefunction (remove indistinct elements). Expansion matrix used to expand the reduced wavefunction (insert indistinct elements) to get back the full wavefunction.

#### iDEA.EXT3.initial\_wavefunction (pm)

Generates the initial wavefunction for the Crank-Nicholson imaginary time propagation.

$$\Psi(x_1, x_2, x_3) = \frac{1}{\sqrt{6}} (\phi_1(x_1)\phi_2(x_2)\phi_3(x_3) - \phi_1(x_1)\phi_3(x_2)\phi_2(x_3) + \phi_3(x_1)\phi_1(x_2)\phi_2(x_3) - \phi_3(x_1)\phi_2(x_2)\phi_1(x_3) + \phi_2(x_1)\phi_1(x_3) - \phi_1(x_3)\phi_2(x_1))$$

#### Parameters

**pm** [object] Parameters object

**returns array\_like** 1D array of the reduced wavefunction, indexed as wavefunction\_reduced[space\_index\_1\_2\_3]

#### iDEA.EXT3.main (parameters)

Calculates the ground-state of the system. If the system is perturbed, the time evolution of the perturbed system is then calculated.

#### Parameters

**parameters** [object] Parameters object

**returns object** Results object

#### iDEA.EXT3.non\_approx (pm)

Calculates the three lowest non-interacting eigenstates of the system. These can then be expressed in Slater determinant form as an approximation to the exact many-electron wavefunction.

$$\left( -\frac{1}{2} \frac{d^2}{dx^2} + V_{\text{ext}}(x) \right) \phi_j(x) = \varepsilon_j \phi_j(x)$$

#### Parameters

**pm** [object] Parameters object

**returns array\_like and array\_like and array\_like** 1D array of the 1st non-interacting eigenstate, indexed as eigenstate\_1[space\_index]. 1D array of the 2nd non-interacting eigenstate, indexed as eigenstate\_2[space\_index]. 1D array of the 3rd non-interacting eigenstate, indexed as eigenstate\_3[space\_index].

**iDEA.EXT3.qho\_approx** (*pm, n*)

Calculates the *n*th eigenstate of the quantum harmonic oscillator, and shifts to ensure it is neither an odd nor an even function (necessary for the Gram-Schmidt algorithm).

$$\left( -\frac{1}{2} \frac{d^2}{dx^2} + \frac{1}{2}\omega^2 x^2 \right) \phi_n(x) = \varepsilon_n \phi_n(x)$$
$$\phi_n(x) = \frac{1}{\sqrt{2^n n!}} \left( \frac{\omega}{\pi} \right)^{1/4} e^{-\frac{\omega x^2}{2}} H_n \left( \sqrt{\omega} x \right)$$

**Parameters**

**pm** [object] Parameters object

**n** [integer] Principle quantum number

**returns array\_like** 1D array of the *n*th eigenstate, indexed as eigenstate[space\_index]

**iDEA.EXT3.solve\_imaginary\_time** (*pm, A\_reduced, C\_reduced, wavefunction\_reduced, expansion\_matrix*)

Propagates the initial wavefunction through imaginary time using the Crank-Nicholson method to find the ground-state of the system.

$$\left( I + \frac{\delta\tau}{2} H \right) \Psi(x_1, x_2, x_3, \tau + \delta\tau) = \left( I - \frac{\delta\tau}{2} H \right) \Psi(x_1, x_2, x_3, \tau)$$
$$\Psi(x_1, x_2, x_3, \tau) = \sum_m c_m e^{-\varepsilon_m \tau} \phi_m \implies \lim_{\tau \rightarrow \infty} \Psi(x_1, x_2, x_3, \tau) = \phi_0$$

**Parameters**

**pm** [object] Parameters object

**A\_reduced** [sparse\_matrix] Reduced form of the sparse matrix A, used when solving the equation  $Ax=b$

**C\_reduced** [sparse\_matrix] Reduced form of the sparse matrix C, defined as  $C=-A+2I$

**wavefunction\_reduced** [array\_like] 1D array of the reduced wavefunction, indexed as wavefunction\_reduced[space\_index\_1\_2\_3]

**expansion\_matrix** [sparse\_matrix] Sparse matrix used to expand the reduced wavefunction (insert indistinct elements) to get back the full wavefunction

**returns float and array\_like** Energy of the ground-state system. 1D array of the ground-state wavefunction, indexed as wavefunction[space\_index\_1\_2\_3].

**iDEA.EXT3.solve\_real\_time** (*pm, A\_reduced, C\_reduced, wavefunction, reduction\_matrix, expansion\_matrix*)

Propagates the ground-state wavefunction through real time using the Crank-Nicholson method to find the time-evolution of the perturbed system.

$$\left( I + i\frac{\delta t}{2} H \right) \Psi(x_1, x_2, x_3, t + \delta t) = \left( I - i\frac{\delta t}{2} H \right) \Psi(x_1, x_2, x_3, t)$$

**Parameters**

**pm** [object] Parameters object

**A\_reduced** [sparse\_matrix] Reduced form of the sparse matrix A, used when solving the equation  $Ax=b$

**C\_reduced** [sparse\_matrix] Reduced form of the sparse matrix C, defined as  $C=-A+2I$

**wavefunction** [array\_like] 1D array of the ground-state wavefunction, indexed as wavefunction[space\_index\_1\_2\_3]

**reduction\_matrix** [sparse\_matrix] Sparse matrix used to reduce the wavefunction (remove indistinct elements) by exploiting the exchange antisymmetry

**expansion\_matrix** [sparse\_matrix] Sparse matrix used to expand the reduced wavefunction (insert indistinct elements) to get back the full wavefunction

**returns array\_like and array\_like** 2D array of the time-dependent density, indexed as density[time\_index,space\_index]. 2D array of the current density, indexed as current\_density[time\_index,space\_index].

## 6.1.5 iDEA.EXT\_cython module

Contains the cython modules that are called within EXT2 and EXT3. Cython is used for operations that are very expensive to do in Python, and performance speeds are close to C.

`iDEA.EXT_cython.continuity_eqn()`

Calculates the electron current density of the system at a particular time step by solving the continuity equation.

$$\frac{\partial n}{\partial t} + \frac{\partial j}{\partial x} = 0$$

### Parameters

**pm** [object] Parameters object

**density\_new** [array\_like] 1D array of the electron density at time t

**density\_old** [array\_like] 1D array of the electron density at time t-dt

**returns array\_like** 1D array of the electron current density at time t

`iDEA.EXT_cython.expansion_three()`

Calculates the coordinates and data of the non-zero elements of the three-electron expansion matrix that is used to exploit the exchange antisymmetry of the three-electron wavefunction.

### Parameters

**pm** [object] Parameters object

**coo\_1** [array\_like] 1D COOrdinate holding array for the non-zero elements of the expansion matrix

**coo\_2** [array\_like] 1D COOrdinate holding array for the non-zero elements of the expansion matrix

**coo\_data** [array\_like] 1D array of the non-zero elements of the expansion matrix

**returns array\_like and array\_like and array\_like** Populated 1D COOrdinate holding arrays and 1D data holding array for the non-zero elements of the expansion matrix

`iDEA.EXT_cython.expansion_two()`

Calculates the coordinates and data of the non-zero elements of the two-electron expansion matrix that is used to exploit the exchange antisymmetry of the two-electron wavefunction.

### Parameters

**pm** [object] Parameters object

**coo\_1** [array\_like] 1D COOrdinate holding array for the non-zero elements of the expansion matrix

**coo\_2** [array\_like] 1D COOrdinate holding array for the non-zero elements of the expansion matrix

**coo\_data** [array\_like] 1D array of the non-zero elements of the expansion matrix

**returns array\_like and array\_like and array\_like** Populated 1D COOrdinate holding arrays and 1D data holding array for the non-zero elements of the expansion matrix

iDEA.EXT\_cython.hamiltonian\_three()

Calculates the coordinates and data of the non-zero elements of the three-electron Hamiltonian matrix.

$$\hat{H} = \sum_{i=1}^3 \hat{K}_i + \sum_{i=1}^3 \hat{V}_{\text{ext}}(x_i) + \sum_{i=1}^3 \sum_{j>i}^3 \hat{V}_{\text{int}}(x_i, x_j)$$

#### Parameters

**pm** [object] Parameters object

**coo\_1** [array\_like] 1D COOrdinate holding array for the non-zero elements of the Hamiltonian matrix

**coo\_2** [array\_like] 1D COOrdinate holding array for the non-zero elements of the Hamiltonian matrix

**coo\_data** [array\_like] 1D array of the non-zero elements of the Hamiltonian matrix

**td** [integer] 0 for imaginary time, 1 for real time

**returns array\_like and array\_like and array\_like** Populated 1D COOrdinate holding arrays and 1D data holding array for the non-zero elements of the Hamiltonian matrix

iDEA.EXT\_cython.hamiltonian\_two()

Calculates the coordinates and data of the non-zero elements of the two-electron Hamiltonian matrix.

$$\hat{H} = \sum_{i=1}^2 \hat{K}_i + \sum_{i=1}^2 \hat{V}_{\text{ext}}(x_i) + \sum_{i=1}^2 \sum_{j>i}^2 \hat{V}_{\text{int}}(x_i, x_j)$$

#### Parameters

**pm** [object] Parameters object

**coo\_1** [array\_like] 1D COOrdinate holding array for the non-zero elements of the Hamiltonian matrix

**coo\_2** [array\_like] 1D COOrdinate holding array for the non-zero elements of the Hamiltonian matrix

**coo\_data** [array\_like] 1D array of the non-zero elements of the Hamiltonian matrix

**td** [integer] 0 for imaginary time, 1 for real time

**returns array\_like and array\_like and array\_like** Populated 1D COOrdinate holding arrays and 1D data holding array for the non-zero elements of the Hamiltonian matrix

iDEA.EXT\_cython.imag\_pot\_three()

Calculates the imaginary component of the perturbing potential to be added to the main diagonal of the three-electron Hamiltonian matrix if imaginary potentials are used.

#### Parameters

**pm** [object] Parameters object

**returns array\_like** 1D array of the perturbing potential

iDEA.EXT\_cython.imag\_pot\_two()

Calculates the imaginary component of the perturbing potential to be added to the main diagonal of the two-electron Hamiltonian matrix if imaginary potentials are used.

**Parameters**

**pm** [object] Parameters object

**returns array\_like** 1D array of the perturbing potential

iDEA.EXT\_cython.reduction\_three()

Calculates the coordinates and data of the non-zero elements of the three-electron reduction matrix that is used to exploit the exchange antisymmetry of the three-electron wavefunction.

**Parameters**

**pm** [object] Parameters object

**coo\_1** [array\_like] 1D COOrdinate holding array for the non-zero elements of the reduction matrix

**coo\_2** [array\_like] 1D COOrdinate holding array for the non-zero elements of the reduction matrix

**returns array\_like and array\_like** Populated 1D COOrdinate holding arrays for the non-zero elements of the reduction matrix

iDEA.EXT\_cython.reduction\_two()

Calculates the coordinates and data of the non-zero elements of the two-electron reduction matrix that is used to exploit the exchange antisymmetry of the two-electron wavefunction.

**Parameters**

**pm** [object] Parameters object

**coo\_1** [array\_like] 1D COOrdinate holding array for the non-zero elements of the reduction matrix

**coo\_2** [array\_like] 1D COOrdinate holding array for the non-zero elements of the reduction matrix

**returns array\_like and array\_like** Populated 1D COOrdinate holding arrays for the non-zero elements of the reduction matrix

iDEA.EXT\_cython.single\_index\_three()

Takes every permutation of the three electron indices and creates a single unique index.

**Parameters**

**j** [integer] First electron index

**k** [integer] Second electron index

**l** [integer] Third electron index

**grid** [integer] Number of spatial grid points in the system

**returns integer** Single unique index

iDEA.EXT\_cython.single\_index\_two()

Takes every permutation of the two electron indices and creates a single unique index.

**Parameters**

**j** [integer] First electron index

**k** [integer] Second electron index

**grid** [integer] Number of spatial grid points in the system

**returns integer** Single unique index

**iDEA.EXT\_cython.wavefunction\_three()**

Constructs the initial three-electron wavefunction in reduced form from three single-electron eigenstates.

$$\Psi(x_1, x_2, x_3) = \frac{1}{\sqrt{6}} (\phi_1(x_1)\phi_2(x_2)\phi_3(x_3) - \phi_1(x_1)\phi_3(x_2)\phi_2(x_3) + \phi_3(x_1)\phi_1(x_2)\phi_2(x_3) - \phi_3(x_1)\phi_2(x_2)\phi_1(x_3) + \phi_2(x_1)\phi_1(x_3))$$

**Parameters**

**pm** [object] Parameters object

**eigenstate\_1** [array\_like] 1D array of the 1st single-electron eigenstate, indexed as eigenstate\_1[space\_index]

**eigenstate\_2** [array\_like] 1D array of the 2nd single-electron eigenstate, indexed as eigenstate\_2[space\_index]

**eigenstate\_3** [array\_like] 1D array of the 3rd single-electron eigenstate, indexed as eigenstate\_3[space\_index]

**returns array\_like** 1D array of the reduced wavefunction, indexed as wavefunction\_reduced[space\_index\_1\_2\_3]

**iDEA.EXT\_cython.wavefunction\_two()**

Constructs the two-electron initial wavefunction in reduced form from two single-electron eigenstates.

$$\Psi(x_1, x_2) = \frac{1}{\sqrt{2}} (\phi_1(x_1)\phi_2(x_2) - \phi_2(x_1)\phi_1(x_2))$$

**Parameters**

**pm** [object] Parameters object

**eigenstate\_1** [array\_like] 1D array of the 1st single-electron eigenstate, indexed as eigenstate\_1[space\_index]

**eigenstate\_2** [array\_like] 1D array of the 2nd single-electron eigenstate, indexed as eigenstate\_2[space\_index]

**returns array\_like** 1D array of the reduced wavefunction, indexed as wavefunction\_reduced[space\_index\_1\_2]

## 6.1.6 iDEA.HF module

Computes ground-state and time-dependent charge density in the Hartree-Fock approximation. The code outputs the ground-state charge density, the energy of the system and the Hartree-Fock orbitals.

**iDEA.HF.calculate\_current\_density(pm, density)**

Calculates the current density from the time-dependent (and ground-state) electron density by solving the continuity equation.

$$\frac{\partial n}{\partial t} + \nabla \cdot j = 0$$

**Parameters**

**pm** [object] Parameters object

**density** [array\_like] 2D array of the time-dependent density, indexed as density[time\_index, space\_index]

**returns array\_like** 2D array of the current density, indexed as current\_density[time\_index, space\_index]

`iDEA.HF.crank_nicolson_step(pm, waves, H)`

Solves Crank Nicolson Equation

$$\left(\mathcal{H} + i\frac{dt}{2}H\right)\Psi(x, t + dt) = \left(\mathcal{H} - i\frac{dt}{2}H\right)\Psi(x, t)$$

for  $\Psi(x, t + dt)$ .

#### Parameters

**pm** [object] Parameters object

**total\_td\_density** [array\_like] Time dependent density of the system indexed as `total_td_density[time_index][space_index]`

**returns array\_like** Time dependent current density indexed as `current_density[time_index][space_index]`

`iDEA.HF.electron_density(pm, orbitals)`

Compute density for given orbitals

#### Parameters

**pm** [object] Parameters object

**orbitals: array\_like** Array of properly normalised orbitals

#### Returns

**n: array\_like** electron density

`iDEA.HF.fock(pm, eigf)`

Constructs Fock operator from a set of orbitals

$$\Sigma_x(x, y) = - \sum_{j=1}^N \varphi_j^*(y) \varphi_j(x) u(x, y)$$

where  $u(x, y)$  denotes the appropriate Coulomb interaction.

#### Parameters

**pm** [object] Parameters object

**eigf** [array\_like] Eigenfunction orbitals

#### Returns

**F: array\_like** Fock matrix

`iDEA.HF.groundstate(pm, H)`

Diagonalises Hamiltonian H

$$\hat{H}\varphi_j = \varepsilon_j\varphi_j$$

#### Parameters

**pm: object** Parameters object

**H: array\_like** Hamiltonian matrix (band form)

#### Returns

**n: array\_like** density

**eigf: array\_like** normalised orbitals, index as `eigf[space_index, orbital_number]`

**eigv: array\_like** orbital energies

iDEA.HF.**hamiltonian** (*pm*, *wfs*, *perturb=False*)  
Compute HF Hamiltonian  
Computes HF Hamiltonian from a given set of single-particle states

**Parameters**

- pm** [object] Parameters object
- wfs** array\_like single-particle states
- perturb: bool** If True, add perturbation to external potential (for time-dep. runs)
- returns array\_like** Hamiltonian matrix

iDEA.HF.**hartree** (*pm*, *density*)  
Computes Hartree potential for a given density

$$v_H(x) = \int n(y)u(x,y) \, dy$$

**Parameters**

- pm** [object] Parameters object
- density** [array\_like] given density
- returns array\_like** Hartree potential

iDEA.HF.**main** (*parameters*)  
Performs Hartree-fock calculation

**Parameters**

- parameters** [object] Parameters object
- returns object** Results object

iDEA.HF.**total\_energy** (*pm*, *eigf*, *eigv*)  
Calculates total energy of Hartree-Fock wave function

**Parameters**

- pm** [array\_like] external potential
- eigf** [array\_like] eigenfunctions
- eigv** [array\_like] eigenvalues
- returns float**

## 6.1.7 iDEA.HYB module

Computes ground-state and time-dependent charge density in the Hybrid Hartree-Fock-LDA approximation.  
The code outputs the ground-state charge density, the energy of the system and the single-quasiparticle orbitals.

iDEA.HYB.**calc\_with\_alpha** (*pm*, *alpha*, *occupations*)  
Calculate with given alpha.

Perform hybrid calculation with given alpha.

**Parameters**

- alpha float** HF-LDA mixing parameter (1 = all HF, 0 = all LDA)

**occupations: array\_like** orbital occupations  
**returns density, eigf, eigv, E** Hybrid Density, orbitals and total energy

iDEA.HYB.**fractional\_run**(*pm, results, occupations, fractions*)

iDEA.HYB.**hamiltonian**(*pm, eigf, density, alpha, occupations, perturb=False*)

Compute HF Hamiltonian.

Computes HYB Hamiltonian from a given set of single-particle states.

$$H_\alpha(x, y) = \delta(x - y)\hat{T} + \delta(x - y)v_{\text{ext}}(y) + \delta(x - y)v_{\text{H}}(y) + \alpha\Sigma_{\text{x}}(x, y) + (1 - \alpha)\delta(x - y)v_{\text{xc}}^{\text{LDA}}(y)$$

#### Parameters

**eigf array\_like** single-particle states  
**density array\_like** electron density  
**alpha float** HF-LDA mixing parameter (1 = all HF, 0 = all LDA)  
**occupations: array\_like** orbital occupations  
**perturb: bool** If True, add perturbation to external potential (for time-dep. runs)  
**returns array\_like** Hamiltonian matrix

iDEA.HYB.**main**(*parameters*)

Performs Hybrid calculation.

#### Parameters

**parameters** [object] Parameters object  
**returns object** Results object

iDEA.HYB.**n\_minus\_one\_run**(*pm, results, alphas, occupations*)

Calculate for  $N - 1$  electron run.

Calculate total energy and LUMO eigenvalue of  $N - 1$  electron system.

#### Parameters

**results Results Object** object to add results to  
**alphas: array\_like** range of alphas to use  
**occupations: array\_like** orbital occupations

iDEA.HYB.**n\_run**(*pm, results, alphas, occupations*)

Calculate for  $N$  electron run.

Calculate total energy and HOMO eigenvalue of  $N$  electron system.

#### Parameters

**results Results Object** object to add results to  
**alphas: array\_like** range of alphas to use  
**occupations: array\_like** orbital occupations

iDEA.HYB.**optimal\_alpha**(*pm, results, alphas, occupations*)

Calculate optimal alpha.

Calculate over range of alphas to determine optimal alpha.

#### Parameters

**results** Results Object object to add results to  
**alphas: array\_like** range of alphas to use  
**occupations: array\_like** orbital occupations

iDEA.HYB.**save\_results** (*pm, results, density, E, eigf, eigv, alpha*)  
Saves hybrid results to outputs directory

## 6.1.8 iDEA.LDA module

Uses the [adiabatic] local density approximation ([A]LDA) to calculate the [time-dependent] electron density [and current] for a system of N electrons.

Computes approximations to V\_KS, V\_H, V\_xc using the LDA self-consistently. For ground state calculations the code outputs the LDA orbitals and energies of the system, the ground-state charge density and Kohn-Sham potential. For time dependent calculations the code also outputs the time-dependent charge and current densities and the time-dependent Kohn-Sham potential.

Note: Uses the LDAs developed in [Entwistle2018] from finite slab systems and the HEG, in one dimension.

iDEA.LDA.**DXC** (*pm, n*)

Calculates the derivative of the exchange-correlation potential, necessary for the RPA preconditioner.

### Parameters

**pm** [object] Parameters object  
**n** [array\_like] 1D array of the electron density, indexed as n[space\_index]  
**returns array\_like** 1D array of the derivative of the exchange-correlation potential, indexed as D\_xc[space\_index]

iDEA.LDA.**banded\_to\_full** (*pm, H*)

Converts the Hamiltonian matrix in band form to the full matrix.

### Parameters

**pm** [object] Parameters object  
**H** [array\_like] 2D array of the Hamiltonian matrix in band form, indexed as H[band,space\_index]

### Returns

**H\_full** [array\_like] 2D array of the Hamiltonian matrix in full form, indexed as H\_full[space\_index,space\_index]

iDEA.LDA.**calculate\_current\_density** (*pm, density*)

Calculates the current density from the time-dependent electron density by solving the continuity equation.

$$\frac{\partial n}{\partial t} + \frac{\partial j}{\partial x} = 0$$

### Parameters

**pm** [object] Parameters object  
**density** [array\_like] 2D array of the time-dependent density, indexed as density[time\_index,space\_index]  
**returns array\_like** 2D array of the current density, indexed as current\_density[time\_index,space\_index]

**iDEA.LDA.crank\_nicolson\_step**(*pm, orbitals, H\_full*)

Solves Crank Nicolson Equation

$$\left( I + i \frac{dt}{2} H \right) \Psi(x, t + dt) = \left( I - i \frac{dt}{2} H \right) \Psi(x, t)$$

**Parameters**

**pm** [object] Parameters object

**orbitals** [array\_like] 2D array of the Kohn-Sham orbitals, index as orbitals[space\_index,orbital\_number]

**H\_full** [array\_like] 2D array of the Hamiltonian matrix in full form, indexed as H\_full[space\_index,space\_index]

**returns****iDEA.LDA.electron\_density**(*pm, orbitals*)

Calculates the electron density from the set of orbitals.

$$n(x) = \sum_{j=1}^N |\phi_j(x)|^2$$

**Parameters**

**pm** [object] Parameters object

**orbitals** [array\_like] 2D array of the Kohn-Sham orbitals, index as orbitals[space\_index,orbital\_number]

**Returns**

**density** [array\_like] 1D array of the electron density, indexed as density[space\_index]

**iDEA.LDA.groundstate**(*pm, H*)

Calculates the ground-state of the system for a given potential.

$$\hat{H}\phi_j = \varepsilon_j\phi_j$$

**Parameters**

**pm** [object] Parameters object

**H** [array\_like] 2D array of the Hamiltonian matrix in band form, indexed as H[band,space\_index]

**Returns**

**density** [array\_like] 1D array of the electron density, indexed as density[space\_index]

**orbitals** [array\_like] 2D array of the Kohn-Sham orbitals, index as orbitals[space\_index,orbital\_number]

**eigenvalues** [array\_like] 1D array of the Kohn-Sham eigenvalues, indexed as eigenvalues[orbital\_number]

**iDEA.LDA.hamiltonian**(*pm, v\_ks=None, orbitals=None, perturbation=False*)

Constructs the Hamiltonian matrix in band form for a given Kohn-Sham potential.

$$\hat{H} = \hat{K} + \hat{V}_{KS}$$

**Parameters**

**pm** [object] Parameters object

**v\_ks** [array\_like] 1D array of the Kohn-Sham potential, indexed as v\_ks[space\_index]

**orbitals** [array\_like] 2D array of the Kohn-Sham orbitals, index as orbitals[space\_index,orbital\_number]

**perturbation: bool**

- True: Perturbed external potential
- False: Unperturbed external potential

**Returns**

**H** [array\_like] 2D array of the Hamiltonian matrix in band form, indexed as H[band,space\_index]

iDEA.LDA.hartree\_energy(*pm, v\_h, density*)

Calculates the Hartree energy of the ground-state system.

$$E_H[n] = \frac{1}{2} \int \int U(x, x') n(x) n(x') dx dx' = \frac{1}{2} \int V_H(x) n(x) dx$$

**Parameters**

**pm** [object] Parameters object

**v\_h** [array\_like] 1D array of the ground-state Hartree potential, indexed as v\_h[space\_index]

**density** [array\_like] 1D array of the ground-state electron density, indexed as density[space\_index]

**returns float** The Hartree energy of the ground-state system

iDEA.LDA.hartree\_potential(*pm, density*)

Calculates the Hartree potential for a given electron density.

$$V_H(x) = \int U(x, x') n(x') dx'$$

**Parameters**

**pm** [object] Parameters object

**density** [array\_like] 1D array of the electron density, indexed as density[space\_index]

**returns array\_like** 1D array of the Hartree potential, indexed as v\_h[space\_index]

iDEA.LDA.kinetic(*pm*)

Stores the band elements of the kinetic energy matrix in lower form. The kinetic energy matrix is constructed using a three-point, five-point or seven-point stencil. This yields an NxN band matrix (where N is the number of grid points). For example with N=6 and a three-point stencil:

$$K = -\frac{1}{2} \frac{d^2}{dx^2} = -\frac{1}{2} \begin{pmatrix} -2 & 1 & 0 & 0 & 0 & 0 \\ 1 & -2 & 1 & 0 & 0 & 0 \\ 0 & 1 & -2 & 1 & 0 & 0 \\ 0 & 0 & 1 & -2 & 1 & 0 \\ 0 & 0 & 0 & 1 & -2 & 1 \\ 0 & 0 & 0 & 0 & 1 & -2 \end{pmatrix} \frac{1}{\delta x^2} = \left[ \frac{1}{\delta x^2}, -\frac{1}{2\delta x^2} \right]$$

**Parameters**

**pm** [object] Parameters object

**returns array\_like** 2D array containing the band elements of the kinetic energy matrix, indexed as  $K[\text{band}, \text{space\_index}]$

iDEA.LDA.**kinetic\_energy** (*pm, orbitals*)

Calculates the kinetic energy from the Kohn-Sham orbitals.

$$T_s[n] = \sum_{j=1}^N \langle \phi_j | K | \phi_j \rangle$$

### Parameters

**pm** [object] Parameters object

**orbitals** [array\_like] 2D array of the Kohn-Sham orbitals, index as orbitals[space\_index,orbital\_number]

iDEA.LDA.**ks\_potential** (*pm, density, perturbation=False*)

Calculates the Kohn-Sham potential from the electron density.

$$V_{\text{KS}} = V_{\text{ext}} + V_{\text{H}} + V_{\text{xc}}$$

### Parameters

**pm** [object] Parameters object

**density** [array\_like] 1D array of the electron density, indexed as density[space\_index]

**perturbation: bool**

- True: Perturbed external potential
- False: Unperturbed external potential

### Returns

**v\_ks** [array\_like] 1D array of the Kohn-Sham potential, indexed as v\_ks[space\_index]

iDEA.LDA.**main** (*parameters*)

Performs LDA calculation

### Parameters

**parameters** [object] Parameters object

**returns object** Results object

iDEA.LDA.**total\_energy\_eigf** (*pm, orbitals, density=None, v\_h=None*)

Calculates the total energy from the Kohn-Sham orbitals.

$$E[n] = \sum_{j=1}^N \langle \phi_j | K | \phi_j \rangle + E_H[n] + E_{xc}[n] + \int n(x) V_{\text{ext}}(x) dx$$

### Parameters

**pm** [object] Parameters object

**orbitals** [array\_like] 2D array of the Kohn-Sham orbitals, index as orbitals[space\_index,orbital\_number]

**density** [array\_like] 1D array of the electron density, indexed as density[space\_index]

**v\_h** [array\_like] 1D array of the Hartree potential, indexed as v\_h[space\_index]

**returns float** Total energy

iDEA.LDA.**total\_energy\_eigv**(*pm*, *eigenvalues*, *orbitals=None*, *density=None*, *v\_h=None*,  
*v\_xc=None*)

Calculates the total energy from the Kohn-Sham eigenvalues.

$$E[n] = \sum_{j=1}^N \varepsilon_j + E_{xc}[n] - E_H[n] - \int n(x)V_{xc}(x)dx$$

#### Parameters

**pm** [object] Parameters object

**eigenvalues** [array\_like] 1D array of the Kohn-Sham eigenvalues, indexed as *eigenvalues[orbital\_number]*

**orbitals** [array\_like] 2D array of the Kohn-Sham orbitals, index as *orbitals[space\_index,orbital\_number]*

**density** [array\_like] 1D array of the electron density, indexed as *density[space\_index]*

**v\_h** [array\_like] 1D array of the Hartree potential, indexed as *v\_h[space\_index]*

**v\_xc** [array\_like] 1D array of the exchange-correlation potential, indexed as *v\_xc[space\_index]*

**returns float** Total energy

iDEA.LDA.**xc\_energy**(*pm*, *n*, *separate=False*)

LDA approximation for the exchange-correlation energy. Uses the LDAs developed in [Entwistle et al. 2018] from finite slab systems and the HEG.

$$E_{xc}^{\text{LDA}}[n] = \int \varepsilon_{xc}(n)n(x)dx$$

#### Parameters

**pm** [object] Parameters object

**n** [array\_like] 1D array of the electron density, indexed as *n[space\_index]*

**separate: bool**

- True: Split the HEG exchange-correlation energy into separate exchange and correlation terms
- False: Just return the exchange-correlation energy

**returns float** Exchange-correlation energy

iDEA.LDA.**xc\_potential**(*pm*, *n*, *separate=False*)

LDA approximation for the exchange-correlation potential. Uses the LDAs developed in [Entwistle et al. 2018] from finite slab systems and the HEG.

$$V_{xc}^{\text{LDA}}(x) = \frac{\delta E_{xc}^{\text{LDA}}[n]}{\delta n(x)} = \varepsilon_{xc}(n(x)) + n(x) \left. \frac{d\varepsilon_{xc}}{dn} \right|_{n(x)}$$

#### Parameters

**pm** [object] Parameters object

**n** [array\_like] 1D array of the electron density, indexed as *n[space\_index]*

**separate: bool**

- True: Split the HEG exchange-correlation potential into separate exchange and correlation terms

- False: Just return the exchange-correlation potential

**returns array\_like** 1D array of the exchange-correlation potential, indexed as v\_xc[space\_index]

## 6.1.9 iDEA.LDA\_parameters module

These are the parameters for the LDAs developed in [Entwistle2018] from finite slab systems and the HEG.

## 6.1.10 iDEA.NON module

Calculates the ground-state electron density and energy for a system of N non-interacting electrons through solving the Schroedinger equation. If the system is perturbed, the time-dependent electron density and current density are calculated.

iDEA.NON.calculate\_current\_density(*pm, density*)

Calculates the current density from the time-dependent electron density by solving the continuity equation.

$$\frac{\partial n}{\partial t} + \frac{\partial j}{\partial x} = 0$$

### Parameters

**pm** [object] Parameters object

**density** [array\_like] 2D array of the time-dependent density, indexed as density[time\_index,space\_index]

**returns array\_like** 2D array of the current density, indexed as current\_density[time\_index,space\_index]

iDEA.NON.construct\_A(*pm, H*)

Constructs the sparse matrix A, used when solving Ax=b in the Crank-Nicholson propagation.

$$A = I + i \frac{\delta t}{2} H$$

### Parameters

**pm** [object] Parameters object

**H** [array\_like] 2D array containing the band elements of the Hamiltonian matrix, indexed as H[band,space\_index]

**returns sparse\_matrix** The sparse matrix A

iDEA.NON.construct\_K(*pm*)

Stores the band elements of the kinetic energy matrix in lower form. The kinetic energy matrix is constructed using a three-point, five-point or seven-point stencil. This yields an NxN band matrix (where N is the number of grid points). For example with N=6 and a three-point stencil:

$$K = -\frac{1}{2} \frac{d^2}{dx^2} = -\frac{1}{2} \begin{pmatrix} -2 & 1 & 0 & 0 & 0 & 0 \\ 1 & -2 & 1 & 0 & 0 & 0 \\ 0 & 1 & -2 & 1 & 0 & 0 \\ 0 & 0 & 1 & -2 & 1 & 0 \\ 0 & 0 & 0 & 1 & -2 & 1 \\ 0 & 0 & 0 & 0 & 1 & -2 \end{pmatrix} \frac{1}{\delta x^2} = \left[ \frac{1}{\delta x^2}, -\frac{1}{2\delta x^2} \right]$$

### Parameters

**pm** [object] Parameters object

**returns array\_like** 2D array containing the band elements of the kinetic energy matrix, indexed as K[band,space\_index]

iDEA.NON.**main**(parameters)

Calculates the ground-state of the system. If the system is perturbed, the time evolution of the perturbed system is then calculated.

#### Parameters

**parameters** [object] Parameters object

**returns object** Results object

### 6.1.11 iDEA.RE module

Calculates the exact Kohn-Sham potential and exchange-correlation potential for a given electron density using the reverse-engineering algorithm. This works for both a ground-state and time-dependent density.

iDEA.RE.**calculate\_current\_density**(pm, density\_ks)

Calculates the Kohn-Sham electron current density, at time t+dt, from the time-dependent Kohn-Sham electron density by solving the continuity equation.

$$\frac{\partial n_{KS}}{\partial t} + \nabla \cdot j_{KS} = 0$$

#### Parameters

**pm** [object] Parameters object

**density\_ks** [array\_like] 2D array of the time-dependent Kohn-Sham electron density, at time t and t+dt, indexed as density\_ks[time\_index, space\_index]

**returns array\_like** 1D array of the Kohn-Sham electron current density, at time t+dt, indexed as current\_density\_ks[space\_index]

iDEA.RE.**calculate\_ground\_state**(pm, approx, density\_approx, v\_ext, K)

Calculates the exact ground-state Kohn-Sham potential by solving the ground-state Kohn-Sham equations and iteratively correcting v\_ks. The exact ground-state Kohn-Sham eigenfunctions, eigenenergies and electron density are then calculated.

$$V_{KS}(x) \rightarrow V_{KS}(x) + \mu[n_{KS}^p(x) - n_{approx}^p(x)]$$

#### Parameters

**pm** [object] Parameters object

**approx** [string] The approximation used to calculate the electron density

**density\_approx** [array\_like] 1D array of the ground-state electron density from the approximation, indexed as density\_approx[space\_index]

**v\_ext** [array\_like] 1D array of the unperturbed external potential, indexed as v\_ext[space\_index]

**K** [array\_like] 2D array of the kinetic energy matrix, index as K[band,space\_index]

**returns array\_like and array\_like and array\_like and array\_like and Boolean** 1D array of the ground-state Kohn-Sham potential, indexed as v\_ks[space\_index]. 1D array of the ground-state Kohn-Sham electron density, indexed as density\_ks[space\_index].

2D array of the ground-state Kohn-Sham eigenfunctions, indexed as wavefunctions\_ks[space\_index,eigenfunction]. 1D array containing the ground-state Kohn-Sham eigenenergies, indexed as energies\_ks[eigenenergies]. Boolean - True if file containing exact Kohn-Sham potential is found, False if file is not found.

`iDEA.RE.calculate_hartree_energy(pm, density_ks, v_h)`

Calculates the Hartree energy of the ground-state system.

$$E_H = \frac{1}{2} \int \int U(x, x') n(x) n(x') dx dx' = \frac{1}{2} \int V_H(x) n(x) dx$$

#### Parameters

**pm** [object] Parameters object

**density\_ks** [array\_like] 1D array of the ground-state Kohn-Sham electron density, indexed as density\_ks[space\_index]

**v\_h** [array\_like] 1D array of the ground-state Hartree potential, indexed as v\_h[space\_index]

**returns float** The Hartree energy of the ground-state system

`iDEA.RE.calculate_hartree_potential(pm, density_ks)`

Calculates the Hartree potential for a given electron density.

$$V_H(x) = \int U(x, x') n(x') dx'$$

#### Parameters

**pm** [object] Parameters object

**density\_ks** [array\_like] 1D array of the Kohn-Sham electron density, either the ground-state or at a particular time step, indexed as density\_ks[space\_index]

**returns array\_like** 1D array of the Hartree potential for a given electron density, indexed as v\_h[space\_index]

`iDEA.RE.calculate_time_dependence(pm, A_initial, momentum, A_ks, damping, wavefunctions_ks, density_ks, density_approx, current_density_approx)`

Calculates the exact time-dependent Kohn-Sham vector potential, at time t+dt, by solving the time-dependent Kohn-Sham equations and iteratively correcting A\_ks. The exact time-dependent Kohn-Sham eigenfunctions, electron density and electron current density are then calculated.

$$A_{KS}(x, t) \rightarrow A_{KS}(x, t) + \nu \left[ \frac{j_{KS}(x, t) - j_{approx}(x, t)}{n_{approx}(x, t) + a} \right]$$

#### Parameters

**pm** [object] Parameters object

**A\_initial** [sparse\_matrix] The sparse matrix A at t=0, A\_initial, used when solving the equation Ax=b

**momentum** [array\_like] 1D array of the lower band elements of the momentum matrix, index as momentum[band]

**A\_ks** [array\_like] 1D array of the time-dependent Kohn-Sham vector potential, at time t+dt, indexed as A\_ks[space\_index]

**damping** [array\_like] 1D array of the damping function used to filter out noise, indexed as damping[frequency\_index]

**wavefunctions\_ks** [array\_like] 2D array of the time-dependent Kohn-Sham eigenfunctions, at time t, indexed as wavefunctions\_ks[space\_index,eigenfunction]

**density\_ks** [array\_like] 2D array of the time-dependent Kohn-Sham electron density, at time t and t+dt, indexed as density\_ks[time\_index, space\_index]

**density\_approx** [array\_like] 1D array of the time-dependent electron density from the approximation, at time t+dt, indexed as density\_approx[space\_index]

**current\_density\_approx** [array\_like] 1D array of the electron current density from the approximation, at time t+dt, indexed as current\_density\_approx[space\_index]

**returns array\_like and array\_like and array\_like and array\_like and float**

**and float** 1D array of the time-dependent Kohn-Sham vector potential, at time t+dt, indexed as A\_ks[space\_index]. 1D array of the time-dependent Kohn-Sham electron density, at time t+dt, indexed as density\_ks[space\_index]. 1D array of the Kohn-Sham electron current density, at time t+dt, indexed as current\_density\_ks[space\_index]. 2D array of the time-dependent Kohn-Sham eigenfunctions, at time t+dt, indexed as wavefunctions\_ks[space\_index,eigenfunction]. The error between the Kohn-Sham electron density and electron density from the approximation. The error between the Kohn-Sham electron current density and electron current density from the approximation.

iDEA.RE.**calculate\_xc\_energy** (pm, approx, density\_ks, v\_h, v\_xc, energies\_ks)

Calculates the exchange-correlation energy of the ground-state system.

$$E_{\text{xc}} = E_{\text{total}} - \sum_{j=1}^N \varepsilon_j + \int \left[ \frac{1}{2} V_{\text{H}}(x) + V_{\text{xc}}(x) \right] n_{\text{KS}}(x) dx$$

### Parameters

**pm** [object] Parameters object

**approx** [string] The approximation used to calculate the electron density

**density\_ks** [array\_like] 1D array of the ground-state Kohn-Sham electron density, indexed as density\_ks[space\_index]

**v\_h** [array\_like] 1D array of the ground-state Hartree potential, indexed as v\_h[space\_index]

**v\_xc** [array\_like] 1D array of the ground-state exchange-correlation potential, indexed as v\_xc[space\_index]

**energies\_ks** [array\_like] 1D array containing the ground-state Kohn-Sham eigenenergies, indexed as energies\_ks[eigenenergies]

**returns float** The exchange-correlation energy of the ground-state system

iDEA.RE.**construct\_A** (pm, A\_initial, A\_ks, momentum)

Constructs the sparse matrix A at time t.

$$\begin{aligned} A &= I + i \frac{\delta t}{2} H \\ H &= \frac{1}{2}(p - A_{\text{KS}})^2 + V_{\text{KS}}(t = 0) \\ &= K + V_{\text{KS}}(t = 0) + \frac{A_{\text{KS}}^2}{2} - \frac{pA_{\text{KS}}}{2} - \frac{A_{\text{KSP}}}{2} \\ &= H(t = 0) + \frac{A_{\text{KS}}^2}{2} - \frac{pA_{\text{KS}}}{2} - \frac{A_{\text{KSP}}}{2} \end{aligned}$$

### Parameters

**pm** [object] Parameters object

**A\_initial** [sparse\_matrix] The sparse matrix A at t=0

**A\_ks** [array\_like] 1D array of the time-dependent Kohn-Sham vector potential, at time t, indexed as A\_ks[space\_index]

**momentum** [array\_like] 1D array of the lower band elements of the momentum matrix, index as momentum[band,space\_index]

**returns sparse\_matrix** The sparse matrix A at time t

iDEA.RE.**construct\_A\_initial**(pm, K, v\_ks)

Constructs the sparse matrix A at t=0, once the external perturbation has been applied.

$$A_{\text{initial}} = I + i \frac{\delta t}{2} H(t = 0)$$

$$H(t = 0) = K + V_{\text{KS}}(t = 0)$$

### Parameters

**pm** [object] Parameters object

**K** [array\_like] 2D array of the kinetic energy matrix, index as K[band,space\_index]

**v\_ks** [array\_like] 1D array of the ground-state Kohn-Sham potential + the external perturbation, indexed as v\_ks[space\_index]

**returns sparse\_matrix** The sparse matrix A at t=0, A\_initial, used when solving the equation Ax=b

iDEA.RE.**construct\_K**(pm)

Stores the band elements of the kinetic energy matrix in lower form. The kinetic energy matrix is constructed using a three-point, five-point or seven-point stencil. This yields an NxN band matrix (where N is the number of grid points). For example with N=6 and a three-point stencil:

$$K = -\frac{1}{2} \frac{d^2}{dx^2} = -\frac{1}{2} \begin{pmatrix} -2 & 1 & 0 & 0 & 0 & 0 \\ 1 & -2 & 1 & 0 & 0 & 0 \\ 0 & 1 & -2 & 1 & 0 & 0 \\ 0 & 0 & 1 & -2 & 1 & 0 \\ 0 & 0 & 0 & 1 & -2 & 1 \\ 0 & 0 & 0 & 0 & 1 & -2 \end{pmatrix} \frac{1}{\delta x^2} = \left[ \frac{1}{\delta x^2}, -\frac{1}{2\delta x^2} \right]$$

### Parameters

**pm** [object] Parameters object

**returns array\_like** 2D array containing the band elements of the kinetic energy matrix, indexed as K[band,space\_index]

iDEA.RE.**construct\_damping**(pm)

Stores the damping function which is used to filter out noise in the Kohn-Sham vector potential.

$$f_{\text{damping}}(x) = e^{-10^{-12}(\beta x)^{\sigma}}$$

### Parameters

**pm** [object] Parameters object

**returns array\_like** 1D array of the damping function used to filter out noise, indexed as damping[frequency\_index]

**iDEA.RE.construct\_momentum(*pm*)**

Stores the band elements of the momentum matrix in lower form. The momentum matrix is constructed using a five-point or seven-point stencil. This yields an NxN band matrix (where N is the number of grid points). For example with N=6 and a five-point stencil:

$$p = -i \frac{d}{dx} = -\frac{i}{12} \begin{pmatrix} 0 & 8 & -1 & 0 & 0 & 0 \\ -8 & 0 & 8 & -1 & 0 & 0 \\ 1 & -8 & 0 & 8 & -1 & 0 \\ 0 & 1 & -8 & 0 & 8 & -1 \\ 0 & 0 & 1 & -8 & 0 & 8 \\ 0 & 0 & 0 & 1 & -8 & 0 \end{pmatrix} \frac{1}{\delta x} = \frac{1}{\delta x} \left[ 0, \frac{2}{3}, -\frac{1}{12} \right]$$

**Parameters****pm** [object] Parameters object**returns array\_like** 1D array of the lower band elements of the momentum matrix, index as momentum[band]**iDEA.RE.filter\_noise(*pm*, *A\_ks*, *damping*)**

Filters out noise in the Kohn-Sham vector potential by suppressing high-frequency terms in the Fourier transform.

**Parameters****pm** [object] Parameters object**A\_ks** [array\_like] 1D array of the time-dependent Kohn-Sham vector potential, at time t+dt, indexed as A\_ks[space\_index]**damping** [array\_like] 1D array of the damping function used to filter out noise, indexed as damping[frequency\_index]**returns array\_like** 1D array of the time-dependent Kohn-Sham vector potential, at time t+dt, indexed as A\_ks[space\_index]**iDEA.RE.main(*parameters*, *approx*)**

Calculates the exact Kohn-Sham potential and exchange-correlation potential for a given electron density using the reverse-engineering algorithm. This works for both a ground-state and time-dependent system.

**Parameters****parameters** [object] Parameters object**approx** [string] The approximation used to calculate the electron density**returns object** Results object**iDEA.RE.read\_current\_density(*pm*, *approx*)**

Reads in the electron current density that was calculated using the selected approximation.

**Parameters****pm** [object] Parameters object**approx** [string] The approximation used to calculate the electron current density**returns array\_like** 2D array of the electron current density from the approximation, indexed as current\_density\_approx[time\_index,space\_index]**iDEA.RE.read\_density(*pm*, *approx*)**

Reads in the electron density that was calculated using the selected approximation.

**Parameters**

**pm** [objectmath] Parameters object

**approx** [string] The approximation used to calculate the electron density

**returns array\_like** 2D array of the ground-state/time-dependent electron density from the approximation, indexed as density\_approx[time\_index,space\_index]

**iDEA.RE.remove\_gauge** (*pm*, *A\_ks*, *v\_ks*, *v\_ks\_gs*)  
Removes the gauge transformation that was applied to the Kohn-Sham potential, so that it becomes a fully scalar quantity.

$$V_{\text{KS}}(x, t) \rightarrow V_{\text{KS}}(x, t) + \int_{-x_{\max}}^x \frac{\partial A_{\text{KS}}(x', t)}{\partial t} dx'$$

### Parameters

**pm** [object] Parameters object

**A\_ks** [array\_like] 2D array of the time-dependent Kohn-Sham vector potential, at time *t* and *t+dt*, indexed as *A\_ks*[time\_index, space\_index]

**v\_ks** [array\_like] 1D array of the time-dependent Kohn-Sham potential, at time *t+dt*, indexed as *v\_ks*[space\_index]

**v\_ks\_gs** [array\_like] 1D array of the ground-state Kohn-Sham potential, indexed as *v\_ks*[space\_index]

**returns array\_like** 1D array of the time-dependent Kohn-Sham potential, at time *t+dt*, indexed as *v\_ks*[space\_index]

**iDEA.RE.solve\_gsks\_equations** (*pm*, *hamiltonian*)  
Solves the ground-state Kohn-Sham equations to find the ground-state Kohn-Sham eigenfunctions, energies and electron density.

$$\hat{H}\phi_j(x) = \varepsilon_j\phi_j(x)$$

$$n_{\text{KS}}(x) = \sum_{j=1}^N |\phi_j(x)|^2$$

### Parameters

**pm** [object] Parameters object

**hamiltonian** [array\_like] 2D array of the Hamiltonian matrix, index as *K*[band,space\_index]

**returns array\_like and array\_like and array\_like** 2D array of the ground-state Kohn-Sham eigenfunctions, indexed as wavefunctions\_ks[space\_index,eigenfunction]. 1D array containing the ground-state Kohn-Sham eigenenergies, indexed as energies\_ks[eigenenergies]. 1D array of the ground-state Kohn-Sham electron density, indexed as density\_ks[space\_index].

**iDEA.RE.solve\_tdkss\_equations** (*pm*, *A*, *wavefunctions\_ks*)  
Solves the time-dependent Kohn-Sham equations to find the time-dependent Kohn-Sham eigenfunctions and electron density.

$$\hat{H}\phi_j(x, t) = i \frac{\partial \phi_j(x, t)}{\partial t}$$

$$n_{\text{KS}}(x, t) = \sum_{j=1}^N |\phi_j(x, t)|^2$$

### Parameters

**pm** [object] Parameters object

**A** [sparse\_matrix] The sparse matrix A, used when solving the equation Ax=b

**wavefunctions\_ks** [array\_like] 2D array of the time-dependent Kohn-Sham eigenfunctions, at time t+dt, indexed as wavefunctions\_ks[space\_index,eigenfunction]

**returns array\_like and array\_like** 1D array of the time-dependent Kohn-Sham electron density, at time t+dt, indexed as density\_ks[space\_index]. 2D array of the time-dependent Kohn-Sham eigenfunctions, at time t+dt, indexed as wavefunctions\_ks[space\_index,eigenfunction]

#### iDEA.RE.xc\_correction (pm, v\_xc)

Calculates an approximation to the constant that needs to be added to the exchange-correlation potential so that it asymptotically approaches zero at large  $|x|$ . The approximate error (standard deviation) on the constant is also calculated.

$$V_{xc}(x) \rightarrow V_{xc}(x) + a, \text{ s.t. } \lim_{|x| \rightarrow \infty} V_{xc}(x) = 0$$

#### Parameters

**pm** [object] Parameters object

**v\_xc** [array\_like] 1D array of the ground-state exchange-correlation potential, indexed as v\_xc[space\_index]

**returns float and float** An approximation to the constant that needs to be added to the exchange-correlation potential. The approximate error (standard deviation) on the constant.

#### iDEA.RE.xc\_fit (grid, correction)

Applies a fit to the exchange-correlation potential over a specified range near the edge of the system's grid to determine the correction that needs to be applied to give the correct asymptotic behaviour at large  $|x|$

$$V_{xc}(x) \approx \frac{1}{x} + a$$

#### Parameters

**grid** [array\_like] 1D array of the spatial grid over a specified range near the edge of the system

**correction** [float] An approximation to the constant that needs to be added to the exchange-correlation potential

**returns array\_like** A fit to the exchange-correlation potential over the specified range

### 6.1.12 iDEA.RE\_cython module

Contains the cython modules that are called within RE. Cython is used for operations that are very expensive to do in Python, and performance speeds are close to C.

#### iDEA.RE\_cython.continuity\_eqn()

Calculates the electron current density of the system for a particular time step by solving the continuity equation.

#### Parameters

**pm** [object] Parameters object

**density\_new** [array\_like] 1D array of the electron density at time t

**density\_old** [array\_like] 1D array of the electron density at time t-dt

**returns array\_like** 1D array of the electron current density at time t

### 6.1.13 iDEA.cli module

Functions for the command line interface.

`iDEA.cli.examples_cli()`

Start jupyter notebook server in example directory.

If this fails, print the path to the example directory.

`iDEA.cli.optimize()`

Calculate optimal alpha for hybrid Hamiltonian.

Return a tuple of three optimal values of alpha, corresponding to each condition: (LUMO-A, LUMO-HOMO, LUMO-I). If no alpha satisfies a condition, return None.

`iDEA.cli.optimize_cli()`

`iDEA.cli.run_cli(fname='parameters.py')`

Function to run iDEA using parameters.py file in current working directory.

`iDEA.cli.video_cli()`

Produce plots, animations and data files from pickle files generated by iDEA

### 6.1.14 iDEA.info module

Contains information on version, authors, etc.

`iDEA.info.get_sha1()`

Returns sha1 hash of last commit from git

Works only, if the code resides inside a git repository. Returns None otherwise.

### 6.1.15 iDEA.input module

Stores input parameters for iDEA calculations.

`class iDEA.input.Input`

Bases: object

Stores variables of input parameters file

Includes automatic generation of dependent variables, checking of input parameters, printing back to file and more.

`__dict__ = mappingproxy({ '__module__': 'iDEA.input', '__doc__': 'Stores variables of input parameters file' })`

`__init__(self)`

Sets default values of some properties.

`__module__ = 'iDEA.input'`

`__str__(self)`

Prints different sections in input file

`__weakref__`

list of weak references to the object (if defined)

`check(self)`

Checks validity of input parameters.

`execute(self)`

Run this job

```
classmethod from_python_file(filename)
    Create Input from Python script.

make_dirs(self)
    Set up ouput directory structure

output_dir
    Returns full path to output directory

priority_dict = {'default': 1, 'high': 0, 'low': 2}

read_from_python_file(self,filename)
    Update Input from Python script.

setup_space(self)
    Prepares for performing calculations

    precomputes quantities on grids, etc.

sprint(self, string="", priority=1, newline=True, refresh=5e-06, savelog=True)
    Customized print function

    Prints to screen and appends to log.

    If newline == False, overwrites last line, but refreshes only every refresh seconds.
```

#### Parameters

```
string [string] string to be printed

priority: int priority of message, possible values are 0: debug 1: normal 2: important

newline [bool] If False, overwrite the last line

refresh [float] If newline == False, print only every “refresh” seconds

savelog [bool] If True, save string to log file
```

```
class iDEA.input.InputSection
Bases: object

Generic section of input file

__dict__ = mappingproxy({'__module__': 'iDEA.input', '__doc__': 'Generic section of input file'})

__module__ = 'iDEA.input'

__str__(self)
    Print variables of section and their values

__weakref__
    list of weak references to the object (if defined)
```

```
class iDEA.input.SpaceGrid(pm)
Bases: object
```

Stores basic real space arrays

These arrays should be helpful in many types of iDEA calculations. Storing them in the Input object avoids having to recompute them and reduces code duplication.

```
__dict__ = mappingproxy({'__module__': 'iDEA.input', '__doc__': 'Stores basic real space arrays'})

__init__(self, pm)
    Initialize self. See help(type(self)) for accurate signature.

__module__ = 'iDEA.input'
```

---

```

__str__(self)
    Print variables of section and their values

__weakref__
    list of weak references to the object (if defined)

class iDEA.input.SystemSection
    Bases: iDEA.input.InputSection

    System section of input file

    Includes some derived quantities.

    __module__ = 'iDEA.input'

    deltat
        Spacing of temporal grid

    deltax
        Spacing of real space grid

    grid_points
        Real space grid

iDEA.input.input_string(key, value)
    Prints a line of the input file

```

## 6.1.16 iDEA.minimize module

Direct minimisation of the Hamiltonian

```

class iDEA.minimize.CGMminimizer(pm, total_energy=None, nstates=None, cg_restart=3,
                                   line_fit='quadratic')
    Bases: object

    Performs conjugate gradient minimization

    Performs Pulay mixing with Kerker preconditioner, as described on pp 1071 of [Payne1992]

    __dict__ = mappingproxy({ '__module__': 'iDEA.minimize', '__doc__': 'Performs conjugate
    __init__(self, pm, total_energy=None, nstates=None, cg_restart=3, line_fit='quadratic')
        Initializes variables

    Parameters

        pm: object input parameters
        total_energy: callable function f(pm, waves) that returns total energy
        nstates: int how many states to retain. currently, this must equal the number of occupied
                 states (for unoccupied states need to re-diagonalize)
        cg_restart: int cg history is restarted every cg_restart steps
        line_fit: str select method for line-search 'quadratic' (default), 'quadratic-der' or 'trigonometric'

    __module__ = 'iDEA.minimize'

    __weakref__
        list of weak references to the object (if defined)

```

**braket** (*self, bra=None, O=None, ket=None*)

Compute braket with operator O

bra and ket may hold multiple vectors or may be empty. Variants:

#### Parameters

**bra: array\_like** (grid, nwf) lhs of braket

**O: array\_like** (grid, grid) operator. defaults to identity matrix

**ket: array\_like** (grid, nwf) rhs of braket

**conjugate\_directions** (*self, steepest\_dirs, wfs*)

Compute conjugate gradient descent for one state

Updates internal arrays accordingly

See eqns (5.8-9) in [Payne1992]

#### Parameters

**steepest\_dirs: array\_like** steepest-descent directions (grid, nwf)

**wfs: array\_like** wave functions (grid, nwf)

#### Returns

**cg\_dirs: array\_like** conjugate directions (grid, nwf)

**exact\_dirs** (*self, wfs, H*)

Search direction from exact diagonalization

Just for testing purposes (you can easily end up with multiple minima along the exact search directions)

**line\_search** (*self, wfs, dirs, H, mode*)

Performs a line search along cg direction

Trying to minimize total energy

$$E(s) = \langle \psi(s) | H[\psi(s)] | \psi(s) \rangle$$

**steepest\_dirs** (*self, wfs, H*)

Compute steepest descent directions

Compute steepest descent directions and project out components pointing along other orbitals (equivalent to steepest descent with the proper Lagrange multipliers).

See eqns (5.10), (5.12) in [Payne1992]

#### Parameters

**H: array\_like** Hamiltonian matrix (grid,grid)

**wavefunctions: array\_like** wave function array (grid, nwf)

#### Returns

**steepest\_orth: array\_like** steepest descent directions (grid, nwf)

**step** (*self, wfs, H*)

Performs one cg step

After each step, the Hamiltonian should be recomputed using the updated wave functions.

Note that we currently don't enforce the wave functions to remain eigenfunctions of the Hamiltonian. This should not matter for the total energy but means we need to perform a diagonalisation at the very end.

**Parameters**

**wfs:** `array_like` (grid, nwf) input wave functions

**H:** `array_like` input Hamiltonian

**Returns**

**wfs:** `array_like` (grid, nwf) updated wave functions

**subspace\_diagonalization**(*self*, *v*, *H*)

Diagonalise suspace of wfs

**Parameters**

**v:** `array_like` (grid, nwf) array of orthonormal vectors

**H:** `array_like` (grid,grid) Hamiltonian matrix

**Returns**

**v\_rot:** `array_like` (grid, nwf) array of orthonormal eigenvectors of H (or at least close to eigenvectors)

**total\_energy**(*self*, *wfs*)

Compute total energy for given wave function

This method must be provided by the calling module and is initialized in the constructor.

```
class iDEA.minimize.DiagMinimizer(pm, total_energy=None)
```

Bases: object

Performs minimization using exact diagonalisation

This would be too slow for ab initio codes but is something we can afford in the iDEA world.

Not yet fully implemented though (still missing analytic derivatives and a proper line search algorithm).

```
__dict__ = mappingproxy({'__module__': 'iDEA.minimize', '__doc__': 'Performs minimizat'}
```

```
__init__(self, pm, total_energy=None)
```

Initializes variables

**Parameters**

**pm:** `object` input parameters

**total\_energy:** `callable` function f(pm, waves) that returns total energy

**nstates:** `int` how many states to retain. currently, this must equal the number of occupied states (for unoccupied states need to re-diagonalize)

```
__module__ = 'iDEA.minimize'
```

```
__weakref__
```

list of weak references to the object (if defined)

**h\_step**(*self*, *H0*, *H1*)

Performs one minimisation step

**Parameters**

**H0:** `array_like` input Hamiltonian to be mixed (banded form)

**H1:** `array_like` output Hamiltonian to be mixed (banded form)

**Returns**

**H:** `array_like` mixed hamiltonian (banded form)

**total\_energy** (*self*, *wfs*)  
Compute total energy for given wave function  
This method must be provided by the calling module and is initialized in the constructor.

iDEA.minimize.**orthonormalize** (*v*)  
Return orthonormalized set of vectors  
Return orthonormal set of vectors that spans the same space as the input vectors.

#### Parameters

**v**: array\_like (n, m) array of m vectors in n-dimensional space

### 6.1.17 iDEA.mix module

Mixing schemes for self-consistent calculations

**class** iDEA.mix.**PulayMixer** (*pm*, *order*, *preconditioner=None*)  
Bases: object

Performs Pulay mixing

Can perform Pulay mixing with Kerker preconditioning as described on p.34 of [Kresse1996] Can also be combined with other preconditioners (see precondition.py).

**\_\_dict\_\_** = mappingproxy({'**\_\_module\_\_**': 'iDEA.mix', '**\_\_doc\_\_**': 'Performs Pulay mixing\n'})  
**\_\_init\_\_** (*self*, *pm*, *order*, *preconditioner=None*)  
Initializes variables

#### Parameters

**order**: int order of Pulay mixing (how many densities to keep in memory)

**pm**: object input parameters

**preconditioner**: string May be None, ‘kerker’ or ‘rpa’

**\_\_module\_\_** = 'iDEA.mix'

**\_\_weakref\_\_**

list of weak references to the object (if defined)

**compute\_coefficients** (*self*, *m*, *ncoef*)

Computes mixing coefficients

See [Kresse1996] equations (87) - (90)

$$A_{ij} = \langle R[\rho_{in}^j] | R[\rho_{in}^i] \rangle$$
$$\bar{A}_{ij} = \langle \Delta R^j | \Delta R^i \rangle$$

See [Kresse1996] equation (92)

#### Parameters

**m**: int array index for non-delta quantities

**ncoef**: int number of coefficients to compute

**mix** (*self*, *den\_in*, *den\_out*, *eigv=None*, *eigf=None*)

Compute mix of densities

Computes new input density rho\_in^{m+1}, where the index m corresponds to the index m used in [Kresse1996] on pp 33-34.

**Parameters**

**den\_in:** array\_like input density  
**den\_out:** array\_like output density  
**precondition** (self, f, eigv, eigf)  
 Return preconditioned f  
**update\_arrays** (self, m, den\_in, den\_out)  
 Updates densities and residuals

**We need to store:**

- delta-quantities from i=1 up to m-1
- den\_in i=m-1, m
- r i=m-1, m

In order to get Pulay started, we do one Kerker-only step (step 0).

Note: When self.step becomes larger than self.order, we overwrite data that is no longer needed.

**Parameters**

**m:** int array index for non-delta quantities  
**den\_in:** array\_like input density  
**den\_out:** array\_like output density

## 6.1.18 iDEA.parameters module

iDEA.parameters.v\_ext (x)  
 Ground-state external potential  
 iDEA.parameters.v\_pert (x)  
 Perturbing potential (switched on at t=0)

## 6.1.19 iDEA.plot module

Plotting output quantities of iDEA

iDEA.plot.read\_quantity (pm, name)  
 Read a file from a pickle file in (/raw)  
**Parameters**  
**pm:** parameters object parameters object  
**name:** string name of pickle file in (/raw) (e.g ‘gs\_ext\_den’)  
**returns array\_like** data extracted from pickle file  
 iDEA.plot.to\_anim (pm, names, data, td, dim, file\_name=None, step=1)  
 Outputs data to a .mp4 file in (/animations)

**Parameters**

**pm:** parameters object parameters object  
**names:** list of string names of the data to be saved (e.g ‘gs\_ext\_den’)  
**data:** list of array\_like list of arrays to be plotted

**td: bool** True: Time-dependent data, False: ground-state data  
**dim: int** number of dimensions of the data (0,1,2 or 3) (eg gs\_ext\_E would be 0, gs\_ext\_den would be 1)  
**file\_name: string** name of output file (if None will be saved as default name e.g ‘gs\_ext\_den.dat’)  
**step: int** number of frames to skip when animating

iDEA.plot.to\_data(pm, names, data, td, dim, file\_name=None, timestep=0)  
Outputs data to a .dat file in (/data)

#### Parameters

**pm: parameters object** parameters object  
**names: list of string** names of the data to be saved (e.g ‘gs\_ext\_den’)  
**data: list of array\_like** list of arrays to be plotted  
**td: bool** True: Time-dependent data, False: ground-state data  
**dim: int** number of dimensions of the data (0,1,2 or 3) (eg gs\_ext\_E would be 0, gs\_ext\_den would be 1)  
**file\_name: string** name of output file (if None will be saved as default name e.g ‘gs\_ext\_den.dat’)  
**timestep: int** if td=True or data=3D specify the timestep to be saved

iDEA.plot.to\_plot(pm, names, data, td, dim, file\_name=None, timestep=0)  
Outputs data to a .pdf file in (/plots)

#### Parameters

**pm: parameters object** parameters object  
**names: list of string** names of the data to be saved (e.g ‘gs\_ext\_den’)  
**data: list of array\_like** list of arrays to be plotted  
**td: bool** True: Time-dependent data, False: ground-state data  
**dim: int** number of dimensions of the data (0,1,2 or 3) (eg gs\_ext\_E would be 0, gs\_ext\_den would be 1)  
**file\_name: string** name of output file (if None will be saved as default name e.g ‘gs\_ext\_den.pdf’)  
**timestep: int** if td=True or data=3D specify the timestep to be saved

## 6.1.20 iDEA.precondition module

Preconditioners for self-consistent calculations.

Can be used in conjunction with mixing schemes (see mix.py).

**class iDEA.precondition.KerkerPreconditioner(pm)**  
Bases: object

Performs Kerker preconditioning

Performs Kerker preconditioning, as described on p.34 of [Kresse1996]

**\_\_dict\_\_ = mappingproxy({ '\_\_module\_\_': 'iDEA.precondition', '\_\_doc\_\_': 'Performs Kerke**

---

**`__init__(self, pm)`**  
Initializes variables

**Parameters**

**pm: object** input parameters

**`__module__ = 'iDEA.precondition'`**

**`__weakref__`**  
list of weak references to the object (if defined)

**`precondition(self, f, eigv, eigf)`**  
Return preconditioned f

**class iDEA.precondition.RPAPreconditioner(pm)**  
Bases: object

Performs preconditioning using RPA dielectric function

The static dielectric function as a function of x and x' is computed in the Hartree approximation.

**`__dict__ = mappingproxy({ '__module__': 'iDEA.precondition', '__doc__': "Performs preconditioning using RPA dielectric function" })`**

**`__init__(self, pm)`**  
Initializes variables

**Parameters**

**pm: object** input parameters

**`__module__ = 'iDEA.precondition'`**

**`__weakref__`**  
list of weak references to the object (if defined)

**`chi(self, eigv, eigf)`**  
Computes RPA polarizability

The static, non-local polarisability (aka density-potential response) in the Hartree approximation (often called RPA):

$$\chi^0(x, x') = \sum_j' \sum_k'' \phi_j(x)\phi_k^*(x)\phi_j^*(x')\phi_k(x') \frac{2}{\varepsilon_j - \varepsilon_k}$$

where  $\sum'$  sums over occupied states and  $\sum''$  sums over empty states

See also [https://wiki.fysik.dtu.dk/gpaw/documentation/tddft/dielectric\\_response.html](https://wiki.fysik.dtu.dk/gpaw/documentation/tddft/dielectric_response.html)

**Parameters**

**eigv: array\_like** array of eigenvalues

**eigf: array\_like** array of eigenfunctions

**Returns**

**epsilon: array\_like** dielectric matrix in real space

**`precondition(self, r, eigv, eigf)`**  
Preconditioning using RPA dielectric matrix

$$\frac{\delta V(x)}{\delta \rho(x')} = D XC(x)\delta(x - x') + v(x - x')$$

**Parameters**

```
r: array_like array of residuals to be preconditioned
eigv: array_like array of eigenvalues
eigf: array_like array of eigenfunctions

class iDEA.precondition.StubPreconditioner(pm)
Bases: object

    Performs no preconditioning

    dict = mappingproxy({'module': 'iDEA.precondition', 'doc': 'Performs no pre
init(self, pm)
    Initializes variables

    Parameters

        pm: object input parameters

        module = 'iDEA.precondition'

        weakref
            list of weak references to the object (if defined)

        precondition(self, f, eigv, eigf)
            Return preconditioned f
```

### 6.1.21 iDEA.results module

Bundles and saves iDEA results

```
class iDEA.results.Results
Bases: object

    Container for results.

    A convenient container for storing, reading and saving the results of a calculation.
```

Usage:

```
res = Results()
res.add(my_result, 'my_name')
res.my_name # now contains my_result
res.save(pm) # saves to disk + keeps track

res.add(my_result2, 'my_name2')
res.save(pm) # saves only my_result2 to disk
```

```
dict = mappingproxy({'module': 'iDEA.results', 'doc': "Container for results"
init(self)
    Initialize self. See help(type(self)) for accurate signature.

    module = 'iDEA.results'

    weakref
        list of weak references to the object (if defined)

    not_saved
        Returns list of results not yet saved to disk.
```

**add**(*self, results, name*)

Add results to the container.

Note: Existing results are overwritten.

**add\_pickled\_data**(*self, name, pm, dir=None*)

Read results from pickle file and adds to results.

**Parameters**

**name** [string] name of results to be read (filepath = raw/name.db)

**pm** [object] iDEA.input.Input object

**dir** [string] directory where result is stored default: pm.output\_dir + '/raw'

```
calc_dict = {'gs': 'ground state', 'td': 'time-dependent'}
```

**static label**(*shortname*)

returns full label for shortname of result.

Expand shortname used for quantities saved by iDEA. E.g. ‘gs\_non\_den’ => ‘ground state \$rho\$ (non-interacting)’

```
method_dict = {'ext': 'exact', 'hf': 'Hartree-Fock', 'lda': 'LDA', 'non': 'non-interac'}
```

```
quantity_dict = {'S': '$\\Sigma$', 'Sc': '$\\Sigma_c$', 'Sx': '$\\Sigma_x$', 'Sxc': '$\\Sigma_{xc}$'}
```

**static read**(*name, pm, dir=None*)

Reads and returns results from pickle file

**Parameters**

**name** [string] name of result to be read (filepath = raw/name.db)

**pm** [object] iDEA.input.Input object

**dir** [string] directory where result is stored default: pm.output\_dir + '/raw'

**Returns data****save**(*self, pm, dir=None, list=None*)

Save results to disk.

Note: Saves only results that haven’t been saved before.

**Parameters**

**pm** [object] iDEA.input.Input object

**dir** [string] directory where to save results default: pm.output\_dir + '/raw'

**verbosity** [string] additional info will be printed for verbosity ‘high’

**list** [array\_like] if given, saves listed results if not set, saves results that haven’t been saved before

**save\_hdf5**(*self, pm, dir=None, list=None, f=None*)

Save results to HDF5 database.

This requires the h5py python package.

**Parameters**

**pm** [object] iDEA.input.Input object

**dir** [string] directory where to save results default: pm.output\_dir + '/raw'

**verbosity** [string] additional info will be printed for verbosity ‘high’

**list** [array\_like] if set, only the listed results will be saved  
**f** [HDF5 handle] handle of HDF5 file (or group) to write to

## 6.1.22 iDEA.splash module

Prints iDEA logo as splash

```
iDEA.splash.draw(pm)
```

## 6.1.23 iDEA.test\_EXT1 module

Tests for 1-electron exact calculations in iDEA

```
class iDEA.test_EXT1.TestAtom(methodName='runTest')  
Bases: unittest.case.TestCase
```

Tests for an atomic-like potential

External potential is a softened atomic-like potential. Testing ground-state case. Testing 3-, 5- and 7-point stencil for the second-derivative.

```
__module__ = 'iDEA.test_EXT1'
```

```
setUp(self)
```

Sets up atomic system

```
test_stencil_five(self)
```

Test 5-point stencil

```
test_stencil_seven(self)
```

Test 7-point stencil

```
test_stencil_three(self)
```

Test 3-point stencil

```
class iDEA.test_EXT1.TestHarmonicOscillator(methodName='runTest')
```

Bases: unittest.case.TestCase

Tests for the harmonic oscillator potential

External potential is the harmonic oscillator (this is the default in iDEA). Testing ground-state and time-dependence case.

```
__module__ = 'iDEA.test_EXT1'
```

```
setUp(self)
```

Sets up harmonic oscillator system

```
test_system(self)
```

Test ground-state and then real time propagation

## 6.1.24 iDEA.test\_EXT2 module

Tests for 2-electron exact calculations in iDEA

```
class iDEA.test_EXT2.TestDoubleWell(methodName='runTest')  
Bases: unittest.case.TestCase
```

Tests for an asymmetric double-well potential

External potential is an asymmetric double-well potential (System 1 in Hodgson et al. 2016). Testing ground-state interacting case. Testing 3-, 5- and 7-point stencil for the second-derivative. Testing initial wavefunction by starting from the non-interacting orbitals.

```
__module__ = 'iDEA.test_EXT2'
setUp(self)
    Sets up double-well system
test_stencil_five(self)
    Test 5-point stencil
test_stencil_seven(self)
    Test 7-point stencil
test_stencil_three(self)
    Test 3-point stencil
class iDEA.test_EXT2.TestHarmonicOscillator(methodName='runTest')
Bases: unittest.case.TestCase
Tests for the harmonic oscillator potential
External potential is the harmonic oscillator (this is the default in iDEA). Testing both ground-state non-interacting and ground-state interacting case. Testing time-dependent interacting case.
```

```
__module__ = 'iDEA.test_EXT2'
setUp(self)
    Sets up harmonic oscillator system
test_interacting_system_1(self)
    Test interacting system
test_non_interacting_system_1(self)
    Test non-interacting system
test_time_dependence(self)
    Test real time propagation
```

## 6.1.25 iDEA.test\_EXT3 module

Tests for 3-electron exact calculations in iDEA

```
class iDEA.test_EXT3.TestDoubleWell(methodName='runTest')
Bases: unittest.case.TestCase
Tests for an asymmetric double-well potential
```

External potential is an asymmetric double-well potential (System 1 in Hodgson et al. 2016). Testing ground-state interacting case. Testing 3-, 5- and 7-point stencil for the second-derivative. Testing initial wavefunction by starting from the non-interacting orbitals.

```
__module__ = 'iDEA.test_EXT3'
setUp(self)
    Sets up double-well system
test_stencil_five(self)
    Test 5-point stencil
test_stencil_seven(self)
    Test 7-point stencil
```

```
test_stencil_three(self)
    Test 3-point stencil

class iDEA.test_EXT3.TestHarmonicOscillator(methodName='runTest')
    Bases: unittest.case.TestCase

    Tests for the harmonic oscillator potential

    External potential is the harmonic oscillator (this is the default in iDEA). Testing both ground-state non-interacting and ground-state interacting case. Testing time-dependent interacting case.

    __module__ = 'iDEA.test_EXT3'

    setUp(self)
        Sets up harmonic oscillator system

    test_interacting_system_1(self)
        Test interacting system

    test_non_interacting_system_1(self)
        Test non-interacting system

    test_time_dependence(self)
        Test real time propagation
```

## 6.1.26 iDEA.test\_HF module

Tests for the local density approximation

```
class iDEA.test_HF.HFTestHarmonic(methodName='runTest')
    Bases: unittest.case.TestCase

    Tests on the harmonic oscillator potential

    __module__ = 'iDEA.test_HF'

    setUp(self)
        Sets up harmonic oscillator system

    test_1_electron(self)
        Ensures HF is exact for one electron
```

## 6.1.27 iDEA.test\_HYB module

Tests for the local density approximation

```
class iDEA.test_HYB.HYBTestHarmonic(methodName='runTest')
    Bases: unittest.case.TestCase

    Tests on the harmonic oscillator potential

    __module__ = 'iDEA.test_HYB'

    setUp(self)
        Sets up harmonic oscillator system

    test_alpha(self)
        Ensures HYB is identical to HF if a=1.0
```

## 6.1.28 iDEA.test\_LDA module

Tests for the local density approximation

```
class iDEA.test_LDA.LDATestHarmonic(methodName='runTest')
```

Bases: unittest.case.TestCase

Tests on the harmonic oscillator potential

```
_module_ = 'iDEA.test_LDA'
```

```
setUp(self)
```

Sets up harmonic oscillator system

```
test_banded_hamiltonian_1(self)
```

Test construction of Hamiltonian

Hamiltonian is constructed in banded form for speed. This checks that the construction actually works.

```
test_kinetic_energy_1(self)
```

Checks kinetic energy

Constructs Hamiltonian with KS-potential set to zero and computes expectation values.

```
test_total_energy_1(self)
```

Compares total energy computed via two methods

One method uses the energy eigenvalues + correction terms. The other uses it only for the kinetic part, while the rest is computed using energy functionals.

## 6.1.29 iDEA.test\_NON module

Tests for non-interacting calculations in iDEA

```
class iDEA.test_NON.TestAtom(methodName='runTest')
```

Bases: unittest.case.TestCase

Tests for an atomic-like potential

External potential is a softened atomic-like potential. Testing ground-state case. Testing 3-, 5- and 7-point stencil for the second-derivative.

```
_module_ = 'iDEA.test_NON'
```

```
setUp(self)
```

Sets up atomic system

```
test_stencil_five(self)
```

Test 5-point stencil

```
test_stencil_seven(self)
```

Test 7-point stencil

```
test_stencil_three(self)
```

Test 3-point stencil

```
class iDEA.test_NON.TestHarmonicOscillator(methodName='runTest')
```

Bases: unittest.case.TestCase

Tests for the harmonic oscillator potential

External potential is the harmonic oscillator (this is the default in iDEA). Testing ground-state and time-dependence case.

```
__module__ = 'iDEA.test_NON'

setUp(self)
    Sets up harmonic oscillator system

test_system(self)
    Test ground-state and then real time propagation
```

### 6.1.30 iDEA.test\_minimize module

Tests for direct minimizers

```
class iDEA.test_minimize.TestCG(methodName='runTest')
Bases: unittest.case.TestCase
```

Tests for the conjugate gradient minimizer

```
__module__ = 'iDEA.test_minimize'
```

```
setUp(self)
    Sets up harmonic oscillator system
```

```
test_conjugate(self)
```

Check that gradient is computed correctly

Use conjugate-gradient method on a quadratic function in n-dimensional space, where it is guaranteed to converge in n steps.

Note: This exact condition actually doesn't apply here because we are orthonormalising the vectors (i.e. rotating) after each step. This renders this test a bit pointless... I am currently taking 2\*n steps and am still far from machine precision.

Task: minimize the function

$$E(v) = \sum_{i=1}^2 v_i^* H v_i \Delta_{v_i} E = H v_i$$

for a fixed matrix H and a set of orthonormal vectors  $v_i$ .

Note: The dimension n of the vector space is the grid spacing times number of electrons.

```
test_orthonormalisation(self)
```

Testing orthonormalisation of a set of vectors

minimize. This should do the same as Gram-Schmidt

```
test_steepest_dirs(self)
```

Testing orthogonalisation in steepest descent

Just checking that the efficient numpy routines do the same as more straightforward loop-based techniques

```
class iDEA.test_minimize.TestCGLDA(methodName='runTest')
```

Bases: unittest.case.TestCase

Tests for the conjugate gradient minimizer

On an actual LDA system

```
__module__ = 'iDEA.test_minimize'
```

```
setUp(self)
```

Sets up harmonic oscillator system

---

**test\_energy\_derivative (self)**  
Compare analytical total energy derivative vs finite differences

$$\frac{dE}{d\lambda}(\lambda) \approx \frac{E(\lambda) + E(\lambda + \delta)}{\delta}$$

### 6.1.31 iDEA.test\_mix module

Tests for mixing schemes

**class iDEA.test\_mix.TestKerker (methodName='runTest')**  
Bases: unittest.case.TestCase

Tests for the Kerker preconditioner

**\_\_module\_\_ = 'iDEA.test\_mix'**

**setUp (self)**

Sets up harmonic oscillator system

**test\_screening\_length\_1 (self)**

Testing screening length in Kerker

Check that for infinite screening length, simple mixing is recovered. [Kresse1996] p.34 ...

**class iDEA.test\_mix.TestPulay (methodName='runTest')**  
Bases: unittest.case.TestCase

Tests for the Pulay mixer

**\_\_module\_\_ = 'iDEA.test\_mix'**

**setUp (self)**

Sets up harmonic oscillator system

**test\_array\_update\_1 (self)**

Testing internal variables of Pulay mixer

Just checking that the maths works as expected from [Kresse1996] p.34 ...

**class iDEA.test\_mix.TestRPA (methodName='runTest')**  
Bases: unittest.case.TestCase

Tests for the RPA preconditioner

**\_\_module\_\_ = 'iDEA.test\_mix'**

**setUp (self)**

Sets up harmonic oscillator system

**test\_chi\_1 (self)**

Testing potential-density response

Testing some basic symmetry properties of the potential-density response and the preconditioning matrices required for density/potential mixing.

### 6.1.32 iDEA.test\_result module

Tests for the result class

```
class iDEA.test_result.resultsTest(methodName='runTest')
Bases: unittest.case.TestCase

Tests results object

__module__ = 'iDEA.test_result'

setUp(self)
    Sets up harmonic oscillator system

test_save_1(self)
    Checks that saving works as expected
```

### 6.1.33 Module contents

interacting Dynamic Electrons Approach (iDEA)

The iDEA code allows to propagate the time-dependent Schrödinger equation for 2-3 electrons in one-dimensional real space. Compared to other models, such as the Anderson impurity model, this allows us to treat exchange and correlation throughout the system and provides additional flexibility in bridging the gap between model systems and ab initio descriptions.

The iDEA code (interacting Dynamic Electrons Approach) is a Python-Cython software suite developed in Rex Godby's group at the University of York since 2010. It has a central role in a number of research projects related to many-particle quantum mechanics for electrons in matter.

iDEA's main features are:

- Exact solution of the many-particle time-independent Schrödinger equation, including exact exchange and correlation
- Exact solution of the many-particle time-dependent Schrödinger equation, including exact exchange and correlation
- Simplicity achieved using spinless electrons in one dimension
- An arbitrary external potential that may be time-dependent
- Optimisation methods to determine the exact DFT/TDDFT Kohn-Sham potential and energy components
- Implementation of various approximate functionals (established and novel) for comparison

iDEA Contributors: Sean Adamson, Jacob Chapman, Thomas Durrant, Razak Elmaslmane, Mike Entwistle, Rex Godby, Matt Hodgson, Piers Lillystone, Aaron Long, Robbie Oliver, James Ramsden, Ewan Richardson, Matthew Smith, Leopold Talirz and Jack Wetherell

iDEA is released under the [MIT license](#)

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